



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 :  A61K 9/127		A1	(11) International Publication Number: <b>WO 91/05546</b>  (43) International Publication Date: 2 May 1991 (02.05.91)
<p>(21) International Application Number: PCT/US90/06211</p> <p>(22) International Filing Date: 19 October 1990 (19.10.90)</p> <p>(30) Priority data: 425,224 20 October 1989 (20.10.89) US</p> <p>(71) Applicant: LIPOSOME TECHNOLOGY, INC. [US/US]; 1050 Hamilton Court, Menlo Park, CA 94025 (US).</p> <p>(72) Inventors: MARTIN, Francis, J. ; 415 West Portal Avenue, San Francisco, CA 94127 (US). WOODLE, Martin, C. ; 445 Oak Grove #3, Menlo Park, CA 94025 (US). REDEMANN, Carl ; 3144 Ebano Drive, Walnut Creek, CA 94598 (US). YAU-YOUNG, Annie ; 4162 Crosby Place, Palo Alto, CA 94306 (US).</p>		<p>(74) Agent: DEHLINGER, Peter, J.; 350 Cambridge Avenue, Suite 100, Palo Alto, CA 94306 (US).</p> <p>(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent).</p> <p><b>Published</b>  <i>With international search report.  Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
<p><b>(54) Title:</b> SOLID TUMOR TREATMENT METHOD AND COMPOSITION</p> <p><b>(57) Abstract</b></p> <p>A liposome composition for delivering a compound to a solid tumor via the bloodstream. The liposomes, which contain the agent in entrapped form, are composed of vesicle-forming lipids and between 1-20 mole percent of a vesicle-forming lipid derivatized with hydrophilic polymer, and have sizes in a selected size range between 0.07 and 0.12 microns. After intravenous administration, the liposomes are taken up by the tumor within 24-48 hours, for site-specific release of entrapped compound into the tumor. In one composition for use in treating a solid tumor, the compound is an anthracycline antibiotic drug which is entrapped in the liposomes at a concentration of greater than about 50 µg agent/µMole liposome lipid.</p>			

**BEST AVAILABLE COPY**

***FOR THE PURPOSES OF INFORMATION ONLY***

**Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.**

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	LI	Liechtenstein	SU	Soviet Union
CI	Côte d'Ivoire	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TG	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark				

SOLID TUMOR TREATMENT METHOD AND COMPOSITION1. Field of the Invention

5       The present invention relates to a liposome composition and method, particularly for use in tumor diagnostics and/or therapeutics.

2. References

- 10       Allen, T.M., (1981) Biochem. Biophys. Acta 640. 385397. Allen, T.M., and Everest, J. (1983) J. Pharmacol. Exp. Therap. 226. 539-544.  
15       Altura, B.M. (1980) Adv. Microcirc. 9. 252-294.  
          Alving, C.R. (1984) Biochem. Soc. Trans. 12.  
          342344.  
          Ashwell, G., and Morell, A.G. (1974) Adv. Enzymology 41, 99-128.  
          Czop, J.K. (1978) Proc. Natl. Acad. Sci. USA 75:3831.  
20       Durocher, J.P., et al. (1975) Blood 45:11.  
          Ellens, H., et al. (1981) Biochim. Biophys. Acta 674. 10-18.  
          Gabizon, A., Shiota, R. and Papahadjopoulos, D. (1989) J. Natl. Cancer Inst. 81, 1484-1488.  
25       Gabizon, A., Huberty, J., Straubinger, R.M., Price, D. C. and Papahadjopoulos, D. (1988-1989) J. Liposome Resh. 1, 123-135.

**SUBSTITUTE SHEET**

- Gregoriadis, G., and Ryman, B.E. (1972) Eur. J. Biochem. 24, 485-491.
- Gregoriadis, G., and Neerunjun, D. (1974) Eur. J. Biochem. 47, 179-185.
- 5 Gregoriadis, G., and Senior, J. (1980) FEBS Lett. 119, 43-46.
- Greenberg, J.P., et al (1979) Blood 53:916.
- Hakomori, S. (1981) Ann. Rev. Biochem. 50, 733-764.
- Hong, K., Friend, D., Glabe, C. and Papahadjopoulos 10 (1984) Biochem. Biophys. Acta 732, 320-323.
- Hwang, K.J., et al. (1980) Proc. Natl. Acad. Sci. USA 77:4030.
- Jain, K.J. (1989) J. Natl. Can. Inst. 81, 570-576.
- Jonah, M.M., et al. (1975) Biochem. Biophys. Acta 15 401, 336-348.
- Juliano, R.L., and Stamp, D. (1975) Biochem. Biophys. Res. Commun. 63, 651-658.
- Karlsson, K.A. (1982) In: Biological Membranes, Vol. 4, D. Chapman (ed.) Academic Press, N.Y., pp. 1-74.
- 20 Kimelberg, H.K., et al. (1976) Cancer Res. 36, 2949-2957.
- Kirby, C.J. and Gregoriadis (1984) In: Liposome Technology, Vol. 3, G. Gregoriadis (ed.) CRC Press, Buca Raton, FL., p. 19.
- 25 Lee, K.C., et al., J. Immunology 125:86 (1980).
- Lopez-Berestein, G., et al. (1984) Cancer Res. 44, 375-378.
- Martin, F.J. (1990) In: Specialized Drug Delivery Systems - Manufacturing and Production Technology, P. 30 Tyle (ed.) Marcel Dekker, New York, pp. 267-316.
- Okada, N. (1982) Nature 299:261.
- Poste, G., et al., in "Liposome Technology" Volume 3, page 1 (Gregoriadis, G., et al, eds.), CRC Press, Boca Raton (1984);

Poznansky, M.J., and Juliano, R.L. (1984) Pharmacol. Rev. 36. 277-336.

Richardson, V.J., et al. (1979) Br. J. Cancer 40, 3543.

5 Saba, T.M. (1970) Arch. Intern. Med. 126. 1031-1052.

Schaver, R. (1982) Adv. Carbohydrate Chem. Biochem. 40:131.

10 Scherphof, T., et al. (1978) Biochim.Biophys. Acta 542, 296-307.

Senior, J., and Gregoriadis, G. (1982) FEBS Lett. 145, 109-114.

Senior, J., et al. (1985) Biochim. Biophys. Acta 839, 1-8.

15 Szoka, F., Jr., et al. (1978) Proc. Natl. Acad. Sci. USA 75:4194.

Szoka, F., Jr., et al. (1980) Ann. Rev. Biophys. Bioeng. 9:467.

20 Weinstein, J.W., et al., Pharmas Ther, 24:207 (1984).

Woodruff, J.J., et al. (1969) J. Exp. Med. 129:551.

### 3. Background of the Invention

It would be desirable, for extravascular tumor diagnosis and therapy, to target an imaging or therapeutic compound selectively to the tumor via the blood-stream. In diagnostics, such targeting could be used to provide a greater concentration of an imaging agent at the tumor site, as well as reduced background levels of the agent in other parts of the body. Site-specific targeting would be useful in therapeutic treatment of tumors, to reduce toxic side effects and to increase the drug dose which can safely be delivered to a tumor site.

Liposomes have been proposed as a drug carrier for intravenously (IV) administered compounds, including both imaging and therapeutic compounds. However, the use of liposomes for site-specific targeting via the bloodstream has been severely restricted by the rapid clearance of liposomes by cells of the reticuloendothelial system (RES). Typically, the RES will remove 80-95% of a dose of IV injected liposomes within one hour, effectively out-competing the selected target site for uptake of the liposomes.

A variety of factors which influence the rate of RES uptake of liposomes have been reported (e.g., Gregoridis, 1974; Jonah; Gregoriadis, 1972; Juliano; Allen, 1983; Kimelberg, 1976; Richardson; Lopez-Berestein; Allen, 1981; Scherphof; Gregoriadis, 1980; Hwang; Patel, 1983; Senior, 1985; Allen, 1983; Ellens; Senior, 1982; Hwang; Ashwell; Hakomori; Karlsson; Schauer; Durocher; Greenberg; Woodruff; Czop; and Okada). Briefly, liposome size, charge, degree of lipid saturation, and surface moieties have all been implicated in liposome clearance by the RES. However, no single factor identified to date has been effective to provide long blood halflife, and more particularly, a relatively high percentage of liposomes in the bloodstream 24 hours after injection.

In addition to a long blood halflife, effective drug delivery to a tumor site would also require that the liposomes be capable of penetrating the continuous endothelial cell layer and underlying basement membrane surrounding the vessels supplying blood to a tumor. Although tumors may present a damaged, leaky endothelium, it has generally been recognized that for liposomes to reach tumor cells in effective amounts, the liposomes would have to possess mechanisms which facilitate their passage through the endothelial cell barriers and adja-

cent basement membranes, particularly in view of the low blood flow to tumors and hence limited exposure to circulating liposomes (Weinstein). Higher than normal interstitial pressures found within most tumors would also 5 tend to reduce the opportunity for extravasation of liposomes by creating a an outward transvascular movement of fluid from the tumor (Jain). As has been pointed out, it would be unlikely to design a liposome which would overcome these barriers to extravasation in tumors and, at 10 the same time, evade RES recognition and uptake (Poznanski).

In fact, studies reported to date indicate that even where the permeability of blood vessels increases, extravasation of conventional liposomes through the vessels 15 does not increase significantly (Poste). Based on these findings, it was concluded that although extravasation of liposomes from capillaries compromised by disease may be occurring on a limited scale below detection levels, its therapeutic potential would be minimal (Poste).

20

#### 4. Summary of the Invention

One general object of the invention is to provide a 25 liposome composition and method which is effective for tumor targeting, for localizing an imaging or anti-tumor agent selectively at therapeutic dose levels in systemic, extravascular tumors.

The invention includes, in one aspect, a liposome composition for use in localizing a compound in a solid tumor, as defined in Section IV below, via the blood-30 stream comprising: The liposomes forming the composition (i) are composed of vesicle-forming lipids and between 1-20 mole percent of an vesicle-forming lipid derivatized with a hydrophilic polymer, and (ii) have an average size in a selected size range between about 0.07-0.12 microns.

The compound is contained in the liposomes in entrapped form (i.e., associated with the liposome membrane or encapsulated within the internal aqueous compartment of the liposome). In this context, vesicle-forming lipid is defined as any lipid that by itself or in combination with other lipids forms bilayer structures.

In a preferred embodiment, the hydrophilic polymer is polyethyleneglycol, poly lactic poly glycolic acid having a molecular weight between about 1,000-5,000 daltons, and is derivatized to a phospholipid.

For use in tumor treatment, the compound in one embodiment is an anthracycline antibiotic or plant alkaloid, at least about 80% of the compound is in liposome-entrapped form, and the drug is present in the liposomes at a concentration of at least about 20 µg compound/µmole liposome lipid in the case of the anthracycline antibiotics and 1 µg/µmoles lipid in the case of the plant alkaloids.

In a related aspect, the invention includes a composition of liposomes characterized by:

(a) liposomes composed of vesicle-forming lipids and between 1-20 mole percent of an vesicle-forming lipid derivatized with a hydrophilic polymer,

(b) a blood lifetime, as measured by the percent of a liposomal marker present in the blood 24 hours after IV administration which is several times greater than that of liposomes in the absence of the derivatized lipids;

(c) an average liposome size in a selected size range between about 0.07-0.12 microns, and

(d) the compound in liposome-entrapped form.

Also disclosed is a method of preparing an agent for localization in a solid tumor, when the agent is administered by IV injection. In this case, following IV administration the agent is carried through the bloodstream

in liposome-entrapped form with little leakage of the drug during the first 48 hours post injection. By virtue of the low rate of RES uptake during this period, the liposomes have the opportunity to distribute to and enter  
5 the tumor. Once within the interstitial spaces of the tumor, it is not necessary that the tumor cells actually internalize the liposomes. The entrapped agent is released from the liposome in close proximity to the tumor cells over a period of days to weeks and is free to  
10 further penetrate into the tumor mass (by a process of diffusion) and enter tumor cells directly - exerting its anti-proliferative activity. The method includes entrapping the agent in liposomes of the type characterized above. One liposome composition preferred for transporting  
15 anthracycline antibiotic or plant alkaloid anti-tumor agents to systemic solid tumors would contain high phase transition phospholipids and cholesterol as this type of liposome does not tend to release these drugs while circulating through the bloodstream during the first 24-  
20 48 hours following administration.

In another aspect, the invention includes a method for localizing a compound in a solid tumor in a subject. The method includes preparing a composition of liposomes  
25 (i) composed of vesicle-forming lipids and between 1-20 mole percent of an vesicle-forming lipid derivatized with a hydrophilic polymer, (ii) having an average size in a selected size range between about 0.07-0.12 microns, and (iii) containing the compound in liposome-entrapped form. The composition is injected IV in the subject in an  
30 amount sufficient to localize a therapeutically effective dose of the agent in the solid tumor.

These and other objects and features of the present invention will become more fully apparent when the following detailed description of the invention is read in

conjunction with the accompanying drawings.

Brief Description of the Drawings

Figure 1 illustrates a general reaction scheme for  
5 derivatizing a vesicle-forming lipid amine with a polyalkyleneether;

Figure 2 is a reaction scheme for preparing phosphatidylethanolamine (PE) derivatized with polyethylene-glycol via a cyanuric chloride linking agent;

10 Figure 3 illustrates a reaction scheme for preparing phosphatidylethanolamine (PE) derivatized with polyethyleneglycol by means of a diimidazole activating reagent;

15 Figure 4 illustrates a reaction scheme for preparing phosphatidylethanolamine (PE) derivatized with polyethyleneglycol by means of a trifluoromethane sulfonate reagent;

Figure 5 illustrates a vesicle-forming lipid derivatized with polyethyleneglycol through a peptide (A), ester (B), and disulfide (C) linkage;

20 Figure 6 illustrates a reaction scheme for preparing phosphatidylethanolamine (PE) derivatized with poly lactic acid;

25 Figure 7 is a plot of liposome residence times in the blood, expressed in terms of percent injected dose as a function of hours after IV injection, for PEG-PE liposomes containing different amounts of phosphatidylglycerol;

30 Figure 8 is a plot similar to that of Figure 7, showing blood residence times of liposomes composed of predominantly unsaturated phospholipid components;

Figure 9 is a plot similar to that of Figure 7, showing the blood residence times of PEG-coated liposomes (solid triangles) and conventional, uncoated liposomes (solid circles);

Figure 10 is a plot similar to that of Figure 7, showing the blood residence time of polylactic acid-coated liposomes (solid squares) polyglycolic acid-coated liposomes (open triangles);

5       Figure 11 is a plot showing the kinetics of doxorubicin clearance from the blood of beagle dogs, for drug administered IV in free form (open circles), in liposomes formulated with saturated phospholipids and hydrogenated phosphatidylinositol (HPI) (open squares), and in liposomes coated with PEG (open triangles);

10      Figures 12A and 12B are plots of the time course of doxorubicin uptake from the bloodstream by heart (solid diamonds), muscle (solid circles), and tumor (solid triangles) for drug administered IV in free (12A) and 15 PEG-liposomal (12B) form;

20      Figure 13 is a plot of the time course of uptake of doxorubicin from the bloodstream by J-6456 tumor cells implanted interperitoneally (IP) in mice, as measured as total drug (filled diamonds) as drug associated with tumor cells (solid circles) and liposome-associated form (solid triangles);

25      Figures 14A-14D are light micrographs showing localization of liposomes (small dark stained particles) in Kupfer cells in normal liver (14A), in the interstitial fluid of a C-26 colon carcinoma implanted in liver in the region of a capillary supplying the tumor cells (14B) and in the region of actively dividing C-26 tumor cells implanted in liver (14C) or subcutaneously (14D);

30      Figure 15A-C are plots showing tumor size growth in days following subcutaneous implantation of a C-26 colon carcinoma, for mice treated with a saline control (open circles), doxorubicin at 6 mg/kg (filled circles), epirubicin at 6 mg/kg (open triangles), or PEG-liposome-entrapped epirubicin at two doses, 6mg/kg (filled trian-

gles) or 12 mg/kg (open squares) on days 1, 8 and 15 (15A); for mice treated with saline (solid line), 6 mg/kg epirubicin (closed circles), 6 mg/kg epirubicin plus empty liposomes, (open circles), or PEG liposome entrapped at two doses, 6 mg/kg (filled triangles) and 9 mg/kg (open squares) on days 3 and 10 (15B) or days 10 and 17 (15C);

Figure 16 is a plot showing percent survivors, in days following interperitoneal implantation of a J-6456 lymphoma, for animals treated with doxorubicin in free form (closed circles) or PEG-liposomal form (solid triangles), or untreated animals (open triangles); and

Figure 17 is a plot similar to that in Figure 15, showing tumor size growth, in days following subcutaneous implantation of a C-26 colon carcinoma, for animals treated with a saline control (filled circles), or animals treated with 10 mg/kg doxorubicin in free form (filled squares), or in conventional liposomes (open circles).

20

#### Detailed Description of the Invention

##### I. Preparation of Derivatized Lipids

Figure 1 shows a general reaction scheme for preparing a vesicle-forming lipid derivatized a biocompatible, hydrophilic polymer, as exemplified by polyethylene glycol (PEG), polylactic acid, and polyglycolic acid, all of which are readily water soluble, can be coupled to vesicle-forming lipids, and are tolerated in vivo without toxic effects. The hydrophilic polymer which is employed, e.g., PEG, is preferably capped by a methoxy, ethoxy or other unreactive group at one end or, alternatively, has a chemical group that is more highly reactive at one end than the other. The polymer is activated at

one of its ends by reaction with a suitable activating agent, such as cyanuric acid, diimadozle, anhydride reagent, or the like, as described below. The activated compound is then reacted with a vesicle-forming lipid,  
5 such as a diacyl glycerol, including diacyl phosphoglycerols, where the two hydrocarbon chains are typically between 14-22 carbon atoms in length and have varying degrees of saturation, to produce the derivatized lipid. Phosphatidylethanol-amine (PE) is an example of a phospholipid which is preferred for this purpose since it contains a reactive amino group which is convenient for coupling to the activated polymers. Alternatively, the lipid group may be activated for reaction with the polymer, or the two groups may be joined in a concerted  
10 coupling reaction, according to known coupling methods. PEG capped at one end with a methoxy or ethoxy group can be obtained commercially in a variety of polymer sizes, e.g., 500-20,000 dalton molecular weights.

The vesicle-forming lipid is preferably one having  
20 two hydrocarbon chains, typically acyl chains, and a polar head group. Included in this class are the phospholipids, such as phosphatidylcholine (PC), PE, phosphatidic acid (PA), phosphatidylinositol (PI), and sphingomyelin (SM), where the two hydrocarbon chains are typically between about 14-22 carbon atoms in length, and have varying degrees of unsaturation. Also included in  
25 this class are the glycolipids, such as cerebrosides and gangliosides.

Another vesicle-forming lipid which may be employed  
30 is cholesterol and related sterols. In general, cholesterol may be less tightly anchored to a lipid bilayer membrane, particularly when derivatized with a high molecular weight polymers, such as polyalkylether, and therefore be less effective in promoting liposome evasion

of the RES in the bloodstream.

More generally, and as defined herein, "vesicle-forming lipid" is intended to include any amphipathic lipid having hydrophobic and polar head group moieties, and which (a) by itself can form spontaneously into bilayer vesicles in water, as exemplified by phospholipids, or (b) is stably incorporated into lipid bilayers in combination with phospholipids, with its hydrophobic moiety in contact with the interior, hydrophobic region of the bilayer membrane, and its polar head group moiety oriented toward the exterior, polar surface of the membrane. An example of a latter type of vesicle-forming lipid is cholesterol and cholesterol derivatives, such as cholesterol sulfate and cholesterol hemisuccinate.

According to one important feature of the invention, the vesicle-forming lipid may be a relatively fluid lipid, typically meaning that the lipid phase has a relatively low liquid to liquid-crystalline melting temperature, e.g., at or below room temperature, or relatively rigid lipid, meaning that the lipid has a relatively high melting temperature, e.g., up to 60°C. As a rule, the more rigid, i.e., saturated lipids, contribute to greater membrane rigidity in a lipid bilayer structure and also contribute to greater bilayer stability in serum. Other lipid components, such as cholesterol, are also known to contribute to membrane rigidity and stability in lipid bilayer structures. A long chain (e.g. C-18) saturated lipid plus cholesterol is one preferred composition for delivering anthracycline antibiotic and plant alkaloids anti-tumor agents to solid tumors since these liposomes do not tend to release the drugs into the plasma as they circulate through the bloodstream and enter the tumor during the first 48 hours following injection. Phospholipids whose acyl chains

have a variety of degrees of saturation can be obtained commercially, or prepared according to published methods.

Figure 2 shows a reaction scheme for producing a PE-PEG lipid in which the PEG is derivatized to PE through a cyanuric chloride group. Details of the reaction are provided in Example 1. Briefly, methoxy-capped PEG is activated with cyanuric chloride in the presence in sodium carbonate under conditions which produced the activated PEG compound shown in the figure. This material is purified to remove unreacted cyanuric acid. The activated PEG compound is reacted with PE in the presence of triethyl amine to produce the desired PE-PEG compound shown in the figure. The yield is about 8-10% with respect to initial quantities of PEG.

The method just described may be applied to a variety of lipid amines, including PE, cholesteryl amine, and glycolipids with sugar-amine groups.

A second method of coupling a polyalkylether, such as capped PEG to a lipid amine is illustrated in Figure 3. Here the capped PEG is activated with a carbonyl diimidazole coupling reagent, to form the activated imidazole compound shown in Figure 3. Reaction with a lipid amine, such as PE leads to PEG coupling to the lipid through an amide linkage, as illustrated in the PEG-PE compound shown in the figure. Details of the reaction are given in Example 2.

A third reaction method for coupling a capped poly-alkylether to a lipid amine is shown in Figure 4. Here PEG is first protected at its OH end by a trimethylsilane group. The end-protection reaction is shown in the figure, and involves the reaction of trimethylsilylchloride with PEG in the presence of triethylamine. The protected PEG is then reacted with the anhydride of trifluoromethyl sulfonate to form the PEG compound acti-

vated with trifluoromethyl sulfonate. Reaction of the activated compound with a lipid amine, such as PE, in the presence of triethylamine, gives the desired derivatized lipid product, such as the PEG-PE compound, in which the 5 lipid amine group is coupled to the polyether through the terminal methylene carbon in the polyether polymer. The trimethylsilyl protective group can be released by acid treatment, as indicated in the figure, or, alternatively, by reaction with a quaternary amine fluoride salt, such 10 as the fluoride salt of tetrabutylamine.

It will be appreciated that a variety of known coupling reactions, in addition to those just described, are suitable for preparing vesicle-forming lipids derivatized with hydrophilic polymers such as PEG,. For example, the sulfonate anhydride coupling reagent illustrated 15 in Figure 4 can be used to join an activated polyalkyl-ether to the hydroxyl group of an amphipathic lipid, such as the 5'-OH of cholesterol. Other reactive lipid groups, such as an acid or ester lipid group may also be 20 used for coupling, according to known coupling methods. For example, the acid group of phosphatidic acid can be activated to form an active lipid anhydride, by reaction with a suitable anhydride, such as acetic anhydride, and the reactive lipid can then be joined to a protected 25 polyalkylamine by reaction in the presence of an isothiocyanate reagent.

In another embodiment, the derivatized lipid components are prepared to include a labile lipid-polymer linkage, such as a peptide, ester, or disulfide linkage, 30 which can be cleaved under selective physiological conditions, such as in the presence of peptidase or esterase enzymes or reducing agents such as glutathione present in the bloodstream. Figure 5 shows exemplary lipids which are linked through (A) peptide, (B), ester, and (C),

disulfide containing linkages. The peptide-linked compound can be prepared, for example, by first coupling a polyalkylether with the N-terminal amine of the tripeptide shown, e.g., via the reaction shown in Figure 3.

5      The peptide carboxyl group can then be coupled to a lipid amine group through a carbodiimide coupling reagent conventionally. The ester linked compound can be prepared, for example, by coupling a lipid acid, such as phosphatidic acid, to the terminal alcohol group of a polyalkyl-ether, using alcohol via an anhydride coupling agent.

10     Alternatively, an short linkage fragment containing an internal ester bond and suitable end groups, such as primary amine groups can be used to couple the polyalkyl-ether to the amphipathic lipid through amide or carbamate linkages. Similarly, the linkage fragment may contain an internal disulfide linkage, for use in forming the compound shown at C in Figure 5. Polymers coupled to phospholipids via such reversible linkages are useful to provide high blood levels of liposomes which contain them

15     for the first few hours post injection. After this period, plasma components cleave the reversible bonds releasing the polymers and the "unprotected" liposomes are rapidly taken up by the RES.

20

Figure 6 illustrates a method for derivatizing polylactic acid with PE. The polylactic acid is reacted, in the presence of PE, with dicyclohexylcarboimide (DCCI), as detailed in Example 4. Similarly, a vesicle-forming lipid derivatized with polyglycolic acid may be formed by reaction of polyglycolic acid or glycolic acid with PE in the presence of a suitable coupling agent, such as DCCI, also as detailed in Example 4. The vesicle-forming lipids derivatized with either polylactic acid or polyglycolic acid form part of the invention herein. Also forming part of the invention are liposomes

containing these derivatized lipids, in a 1-20 mole percent.

## II. Preparation of Liposome Composition

### 5 A. Lipid Components

The lipid components used in forming the liposomes of the invention may be selected from a variety of vesicle-forming lipids, typically including phospholipids, sphingolipids and sterols. As will be seen, one requirement of the liposomes of the present invention is long blood circulation lifetime. It is therefore useful to establish a standardized measure of blood lifetime which can be used for evaluating the effect of lipid components on blood halflife.

15 One method used for evaluating liposome circulation time in vivo measures the distribution of IV injected liposomes in the bloodstream and the primary organs of the RES at selected times after injection. In the standardized model which is used herein, RES uptake is measured by the ratio of total liposomes in the bloodstream to total liposomes in the liver and spleen, the principal organs of the RES. In practice, age and sex matched mice 20 are injected IV through the tail vein with a radiolabeled liposome composition, and each time point is determined by measuring total blood and combined liver and spleen 25 radiolabel counts, as detailed in Example 5.

Since the liver and spleen account for nearly 100% of the initial uptake of liposomes by the RES, the blood-/RES ratio just described provides a good approximation 30 of the extent of uptake from the blood to the RES in vivo. For example, a ratio of about 1 or greater indicates a predominance of injected liposomes remaining in the bloodstream, and a ratio below about 1, a predominance of liposomes in the RES. For most of the lipid

compositions of interest, blood/RES ratios were calculated at 1,2, 3, 4, and 24 hours post injection.

The liposomes of the present invention include 1-20 mole percent of the vesicle-forming lipid derivatized 5 with a hydrophilic polymer, described in Section I. According to one aspect of the invention, it has been discovered that blood circulation halflives in these liposomes is largely independent of the degree of saturation of the phospholipid components making up the liposomes. That is, the phospholipid components may be composed of predominantly of fluidic, relatively unsaturated, acyl chains, or of more saturated, rigidifying acyl chain components. This feature of the invention is seen in Example 6, which examines blood/RES ratios in 10 liposomes formed with PEG-PE, cholesterol, and PC having varying degrees of saturation (Table 4). As seen from the data in Table 5 in the example, high blood/RES ratios were achieved in substantially all of the liposome formulations, independent of the extent of lipid unsaturation in the bulk PC phospholipid, and no systematic trend, as a function of degree of lipid saturation, was 15 observed.

Accordingly, the vesicle-forming lipids may be selected to achieve a selected degree of fluidity or 25 rigidity, to control the stability of the liposomes in serum and the rate of release of entrapped drug from the liposomes in the bloodstream and/or tumor. The vesicle-forming lipids may also be selected, in lipid saturation characteristics, to achieve desired liposome preparation properties. It is generally the case, for example, that 30 more fluidic lipids are easier to formulate and down-size by extrusion and homogenization methods than more rigid lipid compositions.

Similarly, it has been found that the percentage of cholesterol in the liposomes may be varied over a wide range without significant effect on observed blood/RES ratios. The studies presented in Example 7A, with reference to Table 6 therein, show virtually no change in blood/RES ratios in the range of cholesterol between 0-30 mole percent.

It has also been found, in studies conducted in support of the invention, that blood/RES ratios are also relatively unaffected by the presence of charged lipid components, such as phosphatidylglycerol (PG). This can be seen from Figure 7, which plots percent loss of encapsulated marker for PEG-PE liposomes containing either 4.7 mole percent PG (triangles) or 14 mole percent PG (circles). Virtually no difference in liposome retention in the bloodstream over a 24 hour period was observed. The option of including negative charge in the liposome without aggravating RES uptake provides a number of potential advantages. Liposomes suspensions which contain negative charge tend to be less sensitive to aggregation in high ionic strength buffers and hence physical stability is enhanced. Also, negative charge present in the liposome membrane can be used as a formulation tool to effectively bind high amounts of cationic drugs.

The vesicle-forming lipid derivatized with a hydrophilic polymer is present in an amount preferably between about 1-20 mole percent, on the basis of moles of derivatized lipid as a percentage of total moles of vesicle-forming lipids. It will be appreciated that a lower mole ratio, such as 0.0 mole percent, may be appropriate for a lipid derivative with a large molecular weight polymer, such as one having a molecular weight of 100 kilodaltons. As noted in Section I, the hydrophilic polymer in the derivatized lipid preferably has a molecular weight

between about 200-20,000 daltons, and more preferably between about 500-5,000 daltons. Example 7B, which examines the effect of very short ethoxy ether moieties on blood/RES ratios indicates that polyether moieties of greater than about 5 carbon ethers are required to achieve significant enhancement of blood/RES ratios.

#### B. Preparing the Liposome Composition

The liposomes may be prepared by a variety of techniques, such as those detailed in Szoka et al, 1980. One method for preparing drug-containing liposomes is the reverse phase evaporation method described by Szoka et al and in U.S. Patent No. 4,235,871. The reverse phase evaporation vesicles (REVs) have typical average sizes between about 2-4 microns and are predominantly oligolamellar, that is, contain one or a few lipid bilayer shells. The method is detailed in Example 4A.

Multilamellar vesicles (MLVs) can be formed by simple lipid-film hydration techniques. In this procedure, a mixture of liposome-forming lipids of the type detailed above dissolved in a suitable organic solvent is evaporated in a vessel to form a thin film, which is then covered by an aqueous medium, as detailed in Example 4B. The lipid film hydrates to form MLVs, typically with sizes between about 0.1 to 10 microns.

In accordance with one important aspect of the invention, the liposomes are prepared to have substantially homogeneous sizes in a selected size range between about 0.07 and 0.12 microns. In particular, it has been discovered that liposomes in this size range are readily able to extravasate into solid tumors, as discussed in Section III below, and at the same time, are capable of carrying a substantial drug load to a tumor (unlike small unilamellar vesicles, which are severely restricted in

drug-loading capacity).

One effective sizing method for REVs and MLVs involves extruding an aqueous suspension of the liposomes through a series of polycarbonate membranes having a selected uniform pore size in the range of 0.03 to 0.2 micron, typically 0.05, 0.08, 0.1, or 0.2 microns. The pore size of the membrane corresponds roughly to the largest sizes of liposomes produced by extrusion through that membrane, particularly where the preparation is extruded two or more times through the same membrane. This method of liposome sizing is used in preparing homogeneous-size REV and MLV compositions described in the examples below. A more recent method involves extrusion through an asymmetric ceramic filter. The method is detailed in U.S. patent No. 4,737,323 for Liposome Extrusion issued April 12, 1988. Homogenization methods are also useful for down-sizing liposomes to sizes of 100nm or less (Martin).

20 C. Compound Loading

In one embodiment, the composition of the invention is used for localizing an imaging agent, such as radio-isotopes including <sup>67</sup>Ga and <sup>111</sup>In, or paramagnetic compounds at the tumor site. In this application, where the radiolabel can be detected at relatively low concentration, it is generally sufficient to encapsulate the imaging agent by passive loading, i.e., during liposome formation. This may be done, for example, by hydrating lipids with an aqueous solution of the agent to be encapsulated. Typically radiolabeled agents are radioisotopic metals in chelated form, such as <sup>67</sup>Ga-desferal, and are retained in the liposomes substantially in entrapped form. After liposome formation and sizing, non-encapsulated material may be removed by one of a variety of

methods, such as by ion exchange or gel filtration chromatography. The concentration of chelated metal which can be achieved by passive loading is limited by the concentration of the agent in the hydrating medium.

5 Active loading of radioimaging agents is also possible by entrapping a high affinity, water soluble chelating agent (such as EDTA or desferoxamine) within the aqueous compartment of liposomes, removing any unentrapped chelating agent by dialysis or gel exclusion  
10 column chromatography and incubating the liposomes in the presence of the metal radioisotope chelated to a lower affinity, lipid soluble chelating agent such as 8-hydroxyquinoline. The metal radioisotope is carried into the liposome by the lipid soluble chelating agent. Once in  
15 the liposome, the radioisotope is chelated by the entrapped, water soluble chelating agent - effectively trapping the radioisotope in the liposome interior (Gabizon).

20 Passive loading may also be employed for the amphipathic anti-tumor compounds, such as the alkaloids vinblastine and vincristine, which are therapeutically active at relatively low drug doses, e.g., about 1-15 mg/m<sup>2</sup>. Here the drug is either dissolved in the aqueous phase used to hydrate the lipid or included with the  
25 lipids in liposome formation process, depending on the solubility of the compound. After liposome formation and sizing, free (unbound) drug can be removed, as above, for example, by ion exchange or gel exclusion chromatographic methods.

30 Where the anti-tumor compound includes a peptide or protein drug, such as interleukin-2 (IL-2) or tissue necrosis factor (TNF), or where the liposomes are formulated to contain a peptide immunomodulator, such as muramyl di- or tri-peptide derivatives or a protein

immunomodulator such as macrophage colony stimulating factor (M-CSF), the liposomes are preferably prepared by the above reverse phase method or by rehydrating a freeze dried mixture of the protein and a suspension of small

- 5 unilamellar vesicles with water (Kirby). Both methods combine passive loading with relatively high encapsulation efficiency, e.g., up to 50% efficiency. Nonencapsulated material can be readily removed from the liposome suspension, e.g., by dialysis, diafiltration or exclusion
- 10 chromatography.

The concentration of hydrophobic drug which can be accommodated in the liposomes will depend on drug/lipid interactions in the membrane, but is generally limited to a drug concentration of less than about 20 µg drug/mg lipid. More specifically, for a variety of anthracycline antibiotics, such as doxorubicin and epirubicin, the highest concentration of encapsulated material which can be achieved by passive loading into the aqueous compartment of the liposome is about 10-20 µg/µmoles lipid (due to the low intrinsic water solubility of these compounds). When 20-30 mole percent of an anionic phospholipid such as PG is included in the membrane the loading factor can be increased to about 40 µg/µmole lipid because the anthracyclines are positively charged and form an "ion pair" complex with the negatively charged PG at the membrane interface. However, such charged complexed anthracycline formulations have limited utility in the context of the present invention (which requires that the drug be carried through the bloodstream for the first 24-48 hours following IV administration in liposome entrapped form) because the drugs tend to be rapidly released from the liposome membrane when introduced into plasma.

In accordance with another aspect of the invention, it has been found essential, for delivery of an therapeutically effective dose of a variety of amphipathic anti-tumor drugs to tumors, to load the liposomes to a high drug concentration by active drug loading methods. For example, for anthracycline antibiotic drugs, such as doxorubicin, epirubicin, daunorubicin, carcinomycin, N-acetyladriamycin, rubidazone, 5-imidodaunomycin, and N-acetyl daunomycin, a final concentration of liposome-entrapped drug of greater than about 25  $\mu\text{g}/\mu\text{mole}$  lipid and preferably 50  $\mu\text{g}/\mu\text{mole}$  lipid is desired. Internal drug concentrations as high as 100-200  $\mu\text{g}/\mu\text{mole}$  lipid are contemplated.

One method for active loading of amphipathic drugs into liposomes is described in co-owned U.S. patent application Serial No. 413,037, filed September 28, 1988. In this method, liposomes are prepared in the presence of a relatively high concentration of ammonium ion, such as 0.125 M ammonium sulfate. After sizing the liposomes to a desired size, the liposome suspension is treated to create an inside-to-outside ammonium ion gradient across the liposomal membranes. The gradient may be created by dialysis against a non-ammonium containing medium, such as an isotonic glucose medium, or by gel filtration, such as on a Sephadex G-50 column equilibrated with 0.15M NaCl or KCl, effectively replacing ammonium ions in the exterior phase with sodium or potassium ions. Alternatively, the liposome suspension may be diluted with a non-ammonium solution, thereby reducing the exterior-phase concentration of ammonium ions. The ammonium concentration inside the liposomes is preferably at least 10 times, and more preferably at least 100 to 1000 times that in the external liposome phase.

## SUBSTITUTE SHEET

- The ammonium ion gradient across the liposomes in turn creates a pH gradient, as ammonia is released across the liposome membrane, and protons are trapped in the internal aqueous phase of the liposome. To load liposomes with the selected drug a suspension of the liposomes, e.g., about 20-200 mg/ml lipid, is mixed with an aqueous solution of the drug, and the mixture is allowed to equilibrate over an period of time, e.g., several hours, at temperatures ranging from room temperature to 5 60°C - depending on the phase transition temperature of the lipids used to form the liposome. In one typical method, a suspension of liposomes having a lipid concentration of 50  $\mu$ moles/ml is mixed with an equal volume of anthracycline drug at a concentration of about 5-8 10 mg/ml. At the end of the incubation period, the suspension is treated to remove free (unbound) drug. One preferred method of drug removal for anthracycline drugs 15 is by passage over an ion exchange resin, such as Dowex 50 WX-4, which is capable of binding the drug.
- 20 Although, as noted above, the plant alkaloids such as vincristine do not require high loading factors in liposomes due to their intrinsically high anti-tumor activity, and thus can be loaded by passive entrapment techniques, it also possible to load these drug by active 25 methods. Since vincristine is amphipathic and a weak base, it and similar molecules can be loaded into liposomes using a pH gradient formed by entrapping ammonium sulfate as described above for the anthracycline antibiotics.
- 30 The remote loading method just described is illustrated in Example 10, which describes the preparation of 0.1 micron MLVs loaded with doxorubicin, to a final drug concentration of about 80-100  $\mu$ g/ $\mu$ moles lipid. The lipo-

somes show a very low rate of drug leakage when stored at 4°C.

### III. Liposome Localization in Solid Tumors

#### 5 A. Extended Bloodstream Halflife

One of the requirements for liposome localization in a target tumor, in accordance with the invention, is an extended liposome lifetime in the bloodstream following IV liposome administration. One measure of liposome lifetime in the bloodstream is the blood/RES ratio determined at a selected time after liposome administration, as discussed above. Blood/RES ratios for a variety of liposome compositions are given in Table 3 of Example 5. In the absence of PEG-derivatized lipids, blood/RES ratios were 0.03 or less. In the presence of PEG-derivatized lipids, the blood/RES ratio ranged from 0.2, for low-molecular weight PEG, to between 1.7-4 for several of the formulations, one of which lacks cholesterol, and three of which lack an added charged phospholipid (e.g., PG).

The data presented in Table 5 in Example 6 show blood/RES ratios (excluding two points with low percent recovery) between about 1.26 and 3.27, consistent with the data given in Table 3. As noted in Section II above, the blood lifetime values are substantially independent of degree of saturation of the liposome lipids, presence of cholesterol and presence of charged lipids.

The blood/RES values reported above can be compared with blood/RES values reported in co-owned U.S. Patent No. 4,920,016, which used blood/RES measurement methods identical to those used in generating the data presented in Tables 3 and 5. The best 24-hour blood/RES ratios which were reported in the above-noted patent was 0.9, for a formulation composed of GM<sub>1</sub>, saturated PC, and

cholesterol. The next best formulations gave 24-hour blood/RES values of about 0.5. Thus, typical 24-hour blood/RES ratios obtained in a number of the current formulations were more than twice as high as the best 5 formulations which have been reported to date. Further, ability to achieve high blood/RES with GM<sub>1</sub> or HPI lipids was dependent on the presence of predominantly saturated lipids and cholesterol in the liposomes.

Plasma pharmacokinetics of a liposomal marker in the 10 bloodstream can provide another measure of the enhanced liposome lifetime which is achieved by the liposome formulations of the present invention. Figure 7 and 8 discussed above show the slow loss of liposomal marker from the bloodstream over a 24 hour period in typical 15 PEG-liposome formulations, substantially independent of whether the marker is a lipid or an encapsulated water-soluble compound (Figure 8). In both plots, the amount of liposomal marker present 24 hours after liposome injection is greater than 10% of the originally injected 20 material.

Figure 9 shows the kinetics of liposome loss from the blood stream for a typical PEG-liposome formulation and the same liposomes in the absence of a PEG-derivatized lipid. After 24 hours, the percent marker remaining in the PEG-liposomes was greater than about 20%, whereas the conventional liposomes showed less than 5% retention in the blood after 3 hours, and virtually no detectable marker at 24 hours.

The results seen in Figures 7-9 are consistent with 30 24 hour blood liposome values measured for a variety of liposome formulations, and reported in Tables 3 and 5-7 in Example 5-8 below. As seen in Table 3 in Example 5, the percent dose remaining at 24 hours was less than 1% for conventional liposomes, versus at least 5% for the

PEG-liposomes. In the best formulations, values between about 20-40% were obtained. Similarly in Table 5 from Example 6, liposome levels in the blood after 24 hours (again neglecting two entries with low recovery values) 5 were between 12 and about 25 percent of total dose given. Similar results are reported in Tables 6 and 7 of Example 7.

The ability of the liposomes to retain an amphoteric anti-tumor drug in the bloodstream over the 24-48 10 period required to provide an opportunity for the liposome to reach and enter a systemic tumor has also been investigated. In the study reported in Example 11, the plasma pharmacokinetics of doxorubicin loaded in PEG-liposomes, doxorubicin given in free form, and doxorubicin 15 loaded into liposomes containing hydrogenated phosphatidylinositol (HPI) was invested in beagle dogs. The HPI liposomes were formulated with a predominantly saturated PC lipid and cholesterol, and represents one of the optimal formulations described in the above co-owned U.S. 20 patent. The kinetics of doxorubicin in the blood up to 72 hours after drug administration is shown in Figure 11. Both liposomal formulations showed single-mode exponential loss of drug, in contrast to free drug which shows a bi-exponential pattern. However, the amount of drug 25 retained in the blood stream at 72 hours was about 8-10 times greater in the PEG-liposomes.

For both blood/RES ratios, and liposome retention time in the bloodstream, the data obtained from a model animal system can be reasonably extrapolated to humans 30 and veterinary animals of interest. This is because uptake of liposomes by liver and spleen has been found to occur at similar rates in several mammalian species, including mouse, rat, monkey, and human (Gregoriadis, 1974; Jonah; Kimelberg, 1976; Juliano; Richardson;

Lopez-Berestein). This result likely reflects the fact that the biochemical factors which appear to be most important in liposome uptake by the RES -- including opsinization by serum lipoproteins, size-dependent uptake effects, and cell shielding by surface moieties -- are common features of all mammalian species which have been examined.

5           B. Extravasation into Tumors

10          Another required feature for high-activity liposome targeting to a solid tumor, in accordance with the invention, is liposome extravasation into the tumor through the endothelial cell barrier and underlying basement membrane separating a capillary from the tumor cells supplied by the capillary. This feature is optimized in 15 liposomes having sizes between 0.07 and 0.12 microns.

15          That liposome delivery to the tumor is required for 20 selective drug targeting can be seen from the study reported in Example 12. Here mice were inoculated subcutaneously with the J-6456 lymphoma which formed a solid tumor mass of about 1 cm<sup>3</sup> after one-two weeks. The animals were then injected either with free doxorubicin or doxorubicin loaded into PEG-liposomes at a dose of 10mg drug per kg body weight. The tissue distribution (heart, 25 muscle, and tumor) of the drug was then assayed at 4, 24, and 48 hours after drug administration. Figure 12A shows the results obtained for free drug. No selective drug accumulation into the tumor occurred, and in fact, the highest initial drug levels were in the heart, where 30 greatest toxicity would be produced.

By contrast, drug delivery in PEG-liposomes showed increasing drug accumulation into the tumor between 4-24 hours, and high selective tumor levels between 24 and 48 hours. Drug uptake by both heart and muscle tissue was,

by contrast, lower than with free drug. As seen from the data plotted in Figure 12B, the tumor contained 8 times more drug compared with healthy muscle and 6 times the amount in heart at 24 hours post injection.

5 To confirm that the PEG-liposomes deliver more anti-tumor drug to a intraperitoneal tumor, groups of mice were injected IP with  $10^6$  J-6456 lymphoma cells. After five days the IP tumor had been established, and the animals were treated IV with 10mg/kg doxorubicin, either  
10 in free drug form or entrapped in PEG-containing liposomes. Tissue distribution of the drug is tabulated in Table 9, Example 12. As shown, the tumor/heart ratio was about 272 greater for liposome delivery than for free drug at 24 hours, and about 47 times greater at 48 hours.

15 To demonstrate that the results shown in Table 9 are due to the entry of intact liposomes into the extravascular region of a tumor, the tumor tissue was separated into cellular and supernatant (intercellular fluid) fractions, and the presence of liposome-associated and  
20 free drug in both fractions was assayed. Figure 13 shows the total amount of drug (filled diamonds) and the amount of drug present in tumor cells (solid circles) and supernatant (solid diamonds) over a 48-hour post injection period. To assay liposome-associated drug, the supernatant was passed through an ion-exchange resin to remove  
25 free drug, and the drug remaining in the supernatant was assayed (solid triangles). As seen, most of the drug in the tumor is liposome-associated.

30 Further demonstration of liposome extravasation into tumor cells was obtained by direct microscopic observation of liposome distribution in normal liver tissue and in solid tumors, as detailed in Example 14. Figure 14A shows the distribution of liposomes (small, darkly stained bodies) in normal liver tissue 24 hours after IV

injection of PEG-liposomes. The liposomes are confined exclusively to the Kupfer cells and are not present, either in hepatocytes or in the intercellular fluid of the normal liver tissue.

5       Figure 14B shows a region of C-26 colon carcinoma implanted in the liver of mice, 24 hours after injection of PEG-liposomes. Concentrations of liposomes are clearly evident in the region of the capillary in the figure, on the tumor tissue side of the endothelial barrier and  
10      basement membrane. Liposomes are also abundant in the intercellular fluid of the tumor cells, further evidencing passage from the capillary lumen into the tumor. The Figure 14C photomicrograph shows another region of the tumor, where an abundance of liposomes in the intercellular fluid is also evident. A similar finding was  
15      made with liposome extravasation into a region of C-26 colon carcinoma cells injected subcutaneously, as seen in Figure 14D.

20      IV. Tumor Localization Method

As detailed above, the liposomes of the invention are effective to localize specifically in a solid tumor region by virtue of the extended lifetime of the liposomes in the bloodstream and a liposome size which allows  
25      both extravasation into tumors, a relatively high drug carrying capacity and minimal leakage of the entrapped drug during the time required for the liposomes to distribute to and enter the tumor (the first 24-48 hours following injection). The liposomes thus provide an  
30      effective method for localizing a compound selectively to a solid tumor, by entrapping the compound in such liposomes and injecting the liposomes IV into a subject. In this context a solid tumor is defined as one that grows in an anatomical site outside the bloodstream (in con-

trast, for example, to blood-born tumors such as leuke-  
mias) and requires the formation of small blood vessels  
and capillaries to supply nutrients, etc. to the growing  
tumor mass. In this case, for an IV injected liposome  
5 (and its entrapped anti-tumor drug) to reach the tumor  
site it must leave the bloodstream and enter the tumor.  
In one embodiment, the method is used for tumor treatment  
by localizing an anti-tumor drug selectively in the  
10 tumor. The anti-tumor drug which may be used is any  
compound, including the ones listed below, which can be  
stably entrapped in liposomes at a suitable loading  
factor and administered at a therapeutically effective  
dose (indicated below in parentheses after each  
15 compound). These include amphipathic anti-tumor com-  
pounds such as the plant alkaloids vincristine (1.4  
 $\text{mg}/\text{m}^2$ ), vinblastine (4-18  $\text{mg}/\text{m}^2$ ) and etoposide (35-100  
 $\text{mg}/\text{m}^2$ ), and the anthracycline antibiotics including doxo-  
rubicin (60-75  $\text{mg}/\text{m}^2$ ), epirubicin (60-120  $\text{mg}/\text{m}^2$ ) and  
daunorubicin (25-45  $\text{mg}/\text{m}^2$ ). The water-soluble anti-metab-  
20 olites such as methotrexate 3  $\text{mg}/\text{m}^2$  , cytosine arabinoside (100  $\text{mg}/\text{m}^2$ ), and fluorouracil (10-15  $\text{mg}/\text{kg}$ ), the  
antibiotics such as bleomycin (10-20 units/ $\text{m}^2$ ), mitomycin  
(20  $\text{mg}/\text{m}^2$ ), plicamycin (25-30  $\mu\text{g}/\text{m}^2$ ) and dactinomycin (15  
 $\mu\text{g}/\text{m}^2$ ), and the alkylating agents including cyclophosphpha-  
25 mide (3-25  $\text{mg}/\text{kg}$ ), thiotepa (0.3-0.4  $\text{mg}/\text{Kg}$ ) and BCNU  
(150-200  $\text{mg}/\text{m}^2$ ) are also useful in this context. As  
noted above, the plant alkaloids exemplified by vincris-  
tine and the anthracycline antibiotics including doxorubi-  
cinc, daunorubicin and epirubicin are preferably active-  
30 ly loaded into liposomes, to achieve drug/lipid ratios  
which are several times greater than can be achieved with  
passive loading. Also as noted above, the liposomes may  
contain encapsulated tumor-therapeutic peptides and  
protein drugs , such as IL-2, and/or TNF, and/or immuno-

modulators, such as M-CSF, which are present alone or in combination with anti-tumor drugs, such as an anthracycline antibiotic drug.

The ability to effectively treat solid tumors, in accordance with the present invention, has been shown in a variety of in vivo systems. The method reported in Example 15 compares the rate of tumor growth in animals with implanted subcutaneously with a C-26 colon carcinoma. Treatment was with epirubicin, either in free form, or entrapped in PEG-liposomes, in accordance with the invention, with the results shown in Figures 15A-C. As seen, and discussed more fully in Example 15, treatment with epirubicin loaded PEG-liposomes produced a marked suppression of tumor growth and lead to long term survivors among groups of animals inoculated with a normally lethal dose of tumor cells. Moreover, delayed treatment of animals with the epirubicin loaded PEG liposomes resulted in regression of established subcutaneous tumors, a result not seen with free drug treatment.

Similar results were obtained for treatment of a lymphoma implanted interperitoneally in mice, as detailed in Example 16. Here the animals were treated with doxorubicin in free form or entrapped in PEG-liposomes. Percent survivors over a 100-day period following tumor implantation and drug treatment is shown in Figure 16. The results are similar to those obtained above, showing marked increase in the median survival time and percent survivors with PEG-liposomes over free drug treatment.

Since reduced toxicity has been observed in model animal systems and in a clinical setting in tumor treatment by doxorubicin entrapped in conventional liposomes (as reported, for example, in U.S. Patent No. ), it is of interest to determine the degree of toxicity protection provided in the tumor treatment method of the

present invention. In the study reported in Example 17, animals were injected IV with increasing doses of doxorubicin or epirubicin in free form or entrapped in conventional or PEG-liposomes. The maximum tolerated dose

5 (MTD) for the various drug formulations is given in Table 10 in the Example. For both drugs, entrapment in PEG-liposomes approximately doubled the MTD of the drug. Similar protection was achieved with conventional liposomes.

10 Although reduced toxicity may contribute to the increased efficacy of tumor treatment reported above, selective localization of the drug by liposomal extravasation is also important for improved drug efficacy. This is demonstrated in the drug treatment method de-

15 scribed in Example 18. Here conventional liposomes containing doxorubicin (which show little or no tumor uptake by extravasation when administered IV) were compared with free drug at the same dose (10 mg/kg) to reduce the rate of growth of a subcutaneously

20 implanted tumor. Figure 17 plots tumor size with time in days following tumor implantation for a saline control (solid line), free drug (filled circles) and conventional liposomes (filled triangles). As seen conventional liposomes do not suppress tumor growth to any greater extent

25 than free drug at the same dose. This finding stands in stark contrast to the results shown in Figures 15A-C and 16 where improved survival and tumor growth suppression is seen compared to free drug when tumor-bearing animals are treated with anthracyclines anti-tumor drugs entrapped in

30 PEG liposomes.

Thus, the tumor-treatment method allows both higher levels of drug to be administered, due to reduced drug toxicity in liposomes, and greater drug efficacy, due to selective liposome localization in the intercellular

fluid of the tumor.

It will be appreciated that the ability to localize, a compound selectively in a tumor, by liposome extravasation, can also be exploited for improved targeting of an, 5 imaging agent to a tumor, for tumor diagnosis. Here the imaging agent, typically a radioisotope in chelated form, or a paramagnetic molecule is entrapped in liposomes, which are then administered IV to the subject being examined. After a selected period, typically 24-48 10 hours, the subject is then monitored, for example by gamma scintillation radiography in the case of radioisotope or by NMR in the case of the paramagnetic agent, to detect regions of local uptake of the imaging agent.

15 The following examples illustrate methods of preparing liposomes with enhanced circulation times, and for accessing circulation times in vivo and in vitro. The examples are intended to illustrate specific liposome compositions and methods of the invention, but are in no way intended to limit the scope thereof.

20

#### Materials

Cholesterol (Chol) was obtained from Sigma (St. Louis, MO). Sphingomyelin (SM), egg phosphatidylcholine (lecithin or PC), partially hydrogenated PC having the 25 composition IV40, IV30, IV20, IV10, and IV1, phosphatidylglycerol (PG), phosphatidylethanolamine (PE), dipalmitoyl-phosphatidyl glycerol (DPPG), dipalmitoyl PC (DPPC), dioleyl PC (DOPC) and distearoyl PC (DSPC) were obtained from Avanti Polar Lipids (Birmingham, AL) or Austin 30 Chemical Company (Chicago, IL).

[<sup>125</sup>I]-tyraminyl-inulin was made according to published procedures. <sup>67</sup>Gallium-8-hydroxyquinoline was supplied by NEN Neoscan (Boston, MA). Doxorubicin HCl and Epirubicin HCL were obtained from Adria Laboratories

(Columbus, OH) or Farmitalia Carlo Erba (Milan, Italy).

Example 1

Preparation of PEG-PE Linked by Cyanuric Chloride

5     ride

A. Preparation of activated PEG

2-0-Methoxypolyethylene glycol 1900-4,6-dichloro-1,3,5 triazine previously called activated PEG was prepared as described in J. Biol. Chem., 252:3582 (1977) with the following modifications.

Cyanuric chloride (5.5 g; 0.03 mol) was dissolved in 400 ml of anhydrous benzene containing 10 g of anhydrous sodium carbonate, and PEG-1900 (19 g; 0.01 mol) was added and the mixture was stirred overnight at room temperature. The solution was filtered, and 600 ml of petroleum ether (boiling range, 35-60°) was added slowly with stirring. The finely divided precipitate was collected on a filter and redissolved in 400 ml of benzene. The precipitation and filtration process was repeated several times until the petroleum ether was free of residual cyanuric chloride as determined by high pressure liquid chromatography on a column (250 x 3.2 mm) of 5-m "LiChrosorb" (E. Merck), developed with hexane, and detected with an ultraviolet detector. Titration of activated PEG-1900 with silver nitrate after overnight hydrolysis in aqueous buffer at pH 10.0, room temperature, gave a value of 1.7 mol of chloride liberated/mol of PEG.

TLC analysis of the product was effected with TLC reversed-phase plates obtained from Baker using methanol-water, 4:1; v/v, as developer and exposure to iodine vapor for visualization. Under these conditions, the starting methoxy polyglycol 1900 appeared at  $R_f$ =0.54 to 0.60. The activated PEG appeared at  $R_f$ =0.41. Unreacted cyanuric chloride appeared at  $R_f$ =0.88 and was removed.

The activated PEG was analyzed for nitrogen and an appropriate correction was applied in selecting the quantity of reactant to use in further synthetic steps. Thus, when the product contained only 20% of the theoretical amount of nitrogen, the quantity of material used in the next synthetic step was increased by 100/20, or 5-fold. When the product contained 50% of the theoretical amount of nitrogen, only 100/50 or a 2-fold increase was needed.

10

B. Preparation of N-(4-Chloro-polyglycol 1900)-1,3,5-triazinyl egg phosphatidylethanolamine.

In a screw-capped test tube, 0.74 ml of a 100 mg/ml (0.100 mmole) stock solution of egg phosphatidylethanolamine in chloroform was evaporated to dryness under a stream of nitrogen and was added to the residue of the activated PEG described in section A, in the amount to provide 205 mg (0.100 mmole). To this mixture, 5 ml anhydrous dimethyl formamide was added. 27 microliters (0.200 mmole) triethylamine was added to the mixture, and the air was displaced with nitrogen gas. The mixture was heated overnight in a sand bath maintained at 110°C.

The mixture was then evaporated to dryness under vacuum and a pasty mass of crystalline solid was obtained. This solid was dissolved in 5 ml of a mixture of 4 volumes of acetone and 1 volume of acetic acid. The resulting mixture was placed at the top of a 21 mm X 240 mm chromatographic absorption column packed with silica gel (Merck Kieselgel 60, 70-230 mesh) which had first been moistened with a solvent composed of acetone acetic acid, 80/20; v/v.

The column chromatography was developed with the same solvent mixture, and separate 20 to 50 ml aliquots of effluent were collected. Each portion of effluent was

37.

assayed by TLC on silica gel coated plates, using 2-butanone/acetic acid/water; 40/25/5; v/v/v as developer and iodine vapor exposure for visualization. Fractions containing only material of  $R_f$ =about 0.79 were combined and evaporated to dryness under vacuum. Drying to constant weight under high vacuum afforded 86 mg (31.2 micromoles) of nearly colorless solid N-(4-chloro-poly-glycol 1900)-1,3,5-triazinyl egg phosphatidylethanolamine containing phosphorous.

The solid compound was taken up in 24 ml of ethanol/chloroform; 50/50 chloroform and centrifuged to remove insoluble material. Evaporation of the clarified solution to dryness under vacuum afforded 21 mg (7.62 micromoles) of colorless solid.

15

Example 2  
Preparation of Carbamate and Amide Linked  
Hydrophilic Polymers with PE

A. Preparation of the imidazole carbamate of polyethylene glycol methyl ether 1900.

9.5 grams (5 mmoles) of polyethylene glycol methyl ether 1900 obtained from Aldrich Chemical Co. was dissolved in 45 ml benzene which has been dried over molecular sieves. 0.89 grams (5.5 mmoles) of pure carbonyl diimidazole was added. The purity was checked by an infra-red spectrum. The air in the reaction vessel was displaced with nitrogen. Vessel was enclosed and heated in a sand bath at 75°C for 16 hours.

The reaction mixture was cooled and the clear solution formed at room temperature. The solution was diluted to 50.0 ml with dry benzene and stored in the refrigerator as a 100 micromole/ml stock solution of the imidazole carbamate of PEG ether 1900.

B. Preparation of the phosphatidylethanolamine carbamate of polyethylene glycol methyl ether 1900.

10.0 ml (1mmol) of the 100 mmol/ml stock solution of the imidazole carbamate of polyethylene glycol methyl ether 1900 was pipetted into a 10 ml pear-shaped flask. The solvent was removed under vacuum. 3.7 ml of a 100 mg/ml solution of egg phosphatidyl ethanolamine in chloroform (0.5 mmol) was added. The solvent was evaporated under vacuum. 2 ml of 1,1,2,2-tetrachloroethylene and 139 microliters (1.0 mmol) of triethylamine VI was added. The vessel was closed and heated in a sand bath maintained at 95°C for 6 hours. At this time, thin-layer chromatography was performed with fractions of the above mixture to determine an extent of conjugation on SiO<sub>2</sub> coated TLC plates, using butanone/acetic acid/water; 40/5/5; v/v/v; was performed as developer. I<sub>2</sub> vapor visualization revealed that most of the free phosphatidyl ethanolamine of R<sub>f</sub>=0.68, had reacted, and was replaced by a phosphorous-containing lipid at R<sub>f</sub>=0.78 to 0.80.

The solvent from the remaining reaction mixture was evaporated under vacuum. The residue was taken up in 10 ml methylene chloride and placed at the top of a 21 mm x 270 mm chromatographic absorption column packed with Merck Kieselgel 60 (70-230 mesh silica gel), which has been first rinsed with methylene chloride. The mixture was passed through the column, in sequence, using the following solvents.

Table 1

	ml	Volume % of <u>Methylene Chloride</u>	Volume % Methanol With 2% Acetic Acid
5	100	100%	0%
	200	95%	5%
	200	90%	10%
	200	85%	15%
	200	60%	40%

10        50 ml portions of effluent were collected and each portion was assayed by TLC on SiO<sub>2</sub> - coated plates, using 12 vapor absorption for visualization after development with chloroform/methanol/water/concentrated ammonium hydroxide; 130/70/8/0.5%; v/v/v/v. Most of the phosphates were found in fractions 11, 12, 13 and 14.

15        These fractions were combined, evaporated to dryness under vacuum and dried in high vacuum to constant weight. They yielded 669 mg of colorless wax of phosphatidyl ethanolaamine carbamate of polyethylene glycol methyl ether. This represented 263 micromoles and a yield of 52.6% based on the phosphatidyl ethanolamine.

20        An NMR spectrum of the product dissolved in deutero-chloroform showed peaks corresponding to the spectrum for egg PE, together with a strong singlet due to the methylene groups of the ethylene oxide chain at  $\Delta$  = 3.4 ppm. The ratio of methylene protons from the ethylene oxide to the terminal methyl protons of the PE acyl groups was large enough to confirm a molecular weight of about 2000 for the polyethylene oxide portion of the molecule of the desired product polyethylene glycol conjugated phosphatidylethanolaamine carbamate, M.W. 2,654.

35        C. Preparation of polylactic acid amide of phosphotidylethanolaamine.

200 mg (0.1 mmoles) poly (lactic acid), m. wt. = 2,000 (ICN, Cleveland, Ohio) was dissolved in 2.0 ml dimethyl sulfoxide by heating while stirring to dissolve the material completely. Then the solution was cooled immediately to 65°C and poured onto a mixture of 75 mg (0.1 mmoles) of distearylphosphatidyl-ethanolamine (Cal. Biochem, La Jolla) and 41 mg (0.2 mmoles) dicyclohexylcarbodiimide. Then 28 ml (0.2 mmoles) of triethylamine was added, the air swept out of the tube with nitrogen gas, the tube capped, and heated at 65°C for 48 hours.

After this time, the tube was cooled to room temperature, and 6 ml of chloroform added. The chloroform solution was washed with three successive 6 ml volumes of water, centrifuged after each wash, and the phases separated with a Pasteur pipette. The remaining chloroform phase was filtered with suction to remove suspended distearolyphosphatidylethanolamine. The filtrate was dried under vacuum to obtain 212 mg of semi-crystalline solid.

This solid was dissolved in 15 ml of a mixture of 4 volumes ethanol with 1 volume water and passed through a 50 mm deep and 21 mm diameter bed of H<sup>+</sup> Dowex 50 cation exchange resin, and washed with 100 ml of the same solvent.

The filtrate was evaporated to dryness to obtain 131 mg colorless wax.

291 mg of such wax was dissolved in 2.5 ml chloroform and transferred to the top of a 21 mm x 280 mm column of silica gel wetted with chloroform. The chromatogram was developed by passing through the column, in sequence, 100 ml each of:

100% chloroform, 0% (1% NH<sub>4</sub>OH in methanol);  
90% chloroform, 10% (1% NH<sub>4</sub>OH in methanol);  
85% chloroform, 15% (1% NH<sub>4</sub>OH in methanol);

41.

80% chloroform, 20% (1% NH<sub>4</sub>OH in methanol);  
70% chloroform, 30% (1% NH<sub>4</sub>OH in methanol);

Individual 25 ml portions of effluent were saved and  
5 assayed by TLC on SFO<sub>2</sub>-coated plates, using CHCl<sub>3</sub>, CH<sub>3</sub>OH,  
H<sub>2</sub>O, con. NH<sub>4</sub>OH, 130, 70, 8, 0.5 v/v as developer and I<sub>2</sub>  
vapor absorption for visualization.

The 275-325 ml portions of column effluent contained a  
single material, PO<sub>4</sub><sup>+</sup>, of R<sub>f</sub> = 0.89.

10 When combined and evaporated to dryness, these afforded  
319 mg colorless wax.

Phosphate analysis agrees with a molecular weight of  
possibly 115,000.

15 Apparently, the polymerization of the poly (lactic  
acid) occurred at a rate comparable to that at which it  
reacted with phosphatidylethanolamine.

This side-reaction could probably be minimized by  
working with more dilute solutions of the reactants.

20 D. Preparation of poly (glycolic acid) amide of DSPE  
A mixture of 266 mg. (3.50 mmoles) glycolic acid, 745  
mg (3.60 mmoles) dicyclohexyl carbodiimide, 75 mg. (0.10  
mmoles) distearoyl phosphatidyl ethanolamine, 3? microliters  
25 (0.23 mmoles triethyl amine, and 5.0 ml dry dimethyl  
sulfoxide was heated at 75° C, under a nitrogen  
atmosphere, cooled to room temperature, then diluted with  
an equal volume of chloroform, and then washed with three  
successive equal volumes of water to remove dimethyl  
sulfoxide. Centrifuge and separate phases with a Pasteur  
30 pipette each time.

Filter the chloroform phase with suction to remove a  
small amount of suspended material and vacuum evaporate  
the filtrate to dryness to obtain 572 mg. pale amber wax.

Re-dissolve this material in 2.5 ml chloroform and transfer to the top of a 21 mm X 270 mm column of silica gel (Merck Hieselgel 60) which has been wetted with chloroform.

5 Develop the chromatogram by passing through the column, in sequence, 100 ml each of:

100% chloroform, 0% (1% NH<sub>4</sub>OH in methanol);

90% chloroform, 10% (1% NH<sub>4</sub>OH in methanol);

85% chloroform, 15% (1% NH<sub>4</sub>OH in methanol);

10 80% chloroform, 20% (1% NH<sub>4</sub>OH in methanol);

70% chloroform, 30% (1% NH<sub>4</sub>OH in methanol).

Collect individual 25 ml portions of effluent and assay each by TLC on Si<sub>2</sub>-coated plates, using CH Cl<sub>3</sub>, CH<sub>3</sub> OH, H<sub>2</sub>O, con-NH<sub>4</sub>OH; 130, 70, 8, 0.5 v/v as developer.

15 Almost all the PO<sub>4</sub> + material will be in the 275-300 ml portion of effluent. Evaporation of this to dryness under vacuum, followed by high-vacuum drying, affords 281 mg of colorless wax.

20 Phosphate analysis suggests a molecular weight of 924,000.

Manipulation of solvent volume during reaction and molar ratios of glycolic acid and dicyclohexyl carbodi-imide would probably result in other sized molecules.

25

Example 3

Preparation of Ethylene-Linked PEG-PE

A. Preparation of I-trimethylsilyloxy-polyethylene glycol is illustrated in the reaction scheme shown in Figure 3.

30 15.0 gm (10 mmoles) of polyethylene glycol) M.Wt. 1500, (Aldrich Chemical) was dissolved in 80 ml benzene. 1.40 ml (11 mmoles) of chlorotrimethyl silane (Aldrich Chemical Co.) and 1.53 ml (1mmoles) of triethylamine was added. The mixture was stirred at room temperature under

an inert atmosphere for 5 hours.

The mixture was filtered with suction to separate crystals of triethylammonium chloride and the crystals were washed with 5 ml benzene. Filtrate and benzene wash liquids were combined. This solution was evaporated to dryness under vacuum to provide 15.83 grams of colorless oil which solidified on standing.

TLC of the product on Si-C<sub>18</sub> reversed-phase plates using a mixture of 4 volumes of ethanol with 1 volume of water as developer, and iodine vapor visualization, revealed that all the polyglycol 1500 ( $R_f=0.93$ ) has been consumed, and was replaced by a material of  $R_f=0.82$ . An infra-red spectrum revealed absorption peaks characteristic only of polyglycols.

Yield of I-trimethylsilyloxypolyethylene glycol, M.W. 1500 was nearly quantitative.

B. Preparation of trifluoromethane sulfonyl ester of Itrimethylsilyloxy-polyethylene glycol.

15.74 grams (10 mmol) of the crystalline I-trimethylsilyloxy polyethylene glycol obtained above was dissolved in 40 ml anhydrous benzene and cooled in a bath of crushed ice. 1.53 ml (11 mmol) triethylamine and 1.85 ml (11 mmol) of trifluoromethanesulfonic anhydride obtained from Aldrich Chemical Co. were added and the mixture was stirred over night under an inert atmosphere until the reaction mixture changed to a brown color.

The solvent was then evaporated under reduced pressure and the residual syrupy paste was diluted to 100.0 ml with methylene chloride. Because of the great reactivity of trifluoromethane sulfonic esters, no further purification of the trifluoromethane sulfonyl ester of I-trimethylsilyloxy polyethylene glycol was done.

C. Preparation of N-1-trimethylsilyloxy polyethylene glycol 1500 PE.

10 ml of the methylene chloride stock solution of the trifluoromethane sulfonyl ester of 1-trimethylsilyloxy polyethylene glycol was evaporated to dryness under vacuum to obtain about 1.2 grams of residue (approximately 0.7 mmoles). To this residue, 3.72 ml of a chloroform solution containing 372 mg (0.5 mmoles) egg PE was added. To the resulting solution, 139 microliters (1.0 mmole) of triethylamine was added and the solvent was evaporated under vacuum. To the obtained residue, 5 ml dry dimethyl formamide and 70 microliters (0.50 mmoles) triethylamine (VI) was added. Air from the reaction vessel was displaced with nitrogen. The vessel was closed and heated in a sand bath a 110°C for 22 hours. The solvent was evaporated under vacuum to obtain 1.58 grams of brownish colored oil.

A 21 X 260 mm chromatographic absorption column filled with Kieselgel 60 silica 70-230 mesh, was prepared and rinsed with a solvent composed of 40 volumes of butanone, 25 volumes acetic acid and 5 volumes of water. The crude product was dissolved in 3 ml of the same solvent and transferred to the top of the chromatography column. The chromatogram was developed with the same solvent and sequential 30 ml portions of effluent were assayed each by TLC.

The TLC assay system used silica gel coated glass plates, with solvent combination butanone/acetic acid/water; 40/25/5; v/v/v. Iodine vapor absorption served for visualization. In this solvent system, the N-1-trimethylsilyloxy polyethylene glycol 1500 PE appeared at  $R_f=0.78$ . Unchanged PE appeared at  $R_f=0.68$ .

The desired N-1-trimethylsilyloxy polyethylene glycol 1500 PE was a chief constituent of the 170-300 ml portions of column effluent. When evaporated to dryness

45.

under vacuum these portions afforded 111 mg of pale yellow oil of compound.

5           D. Preparation of N-polyethylene glycyl 1500: phosphatidyl-ethanolamine acetic acid deprotection.

Once-chromatographed, PE compound was dissolved in 2 ml of tetrahydrofuran. To this, 6 ml acetic acid and 2 ml water was added. The resulting solution was let to stand for 3 days at 23°C. The solvent from the reaction mixture was evaporated under vacuum and dried to constant weight to obtain 75 mg of pale yellow wax. TLC on Si-C18 reversed-phase plates, developed with a mixture of 4 volumes ethanol, 1 volume water, indicated that some free PE and some polyglycol-like material formed during the hydrolysis.

10           The residue was dissolved in 0.5 ml tetrahydrofuran and diluted with 3 ml of a solution of ethanol water; 80:20; v:v. The mixture was applied to the top of a 10 mm X 250 mm chromatographic absorption column packed with octadecyl bonded phase silica gel and column was developed with ethanol water 80:20% by volume, collecting sequential 20 ml portions of effluent. The effluent was assayed by reversed phase TLC. Fractions containing only product of Rf=0.08 to 0.15 were combined. This was typically the 20-100 ml portion of effluent. When evaporated to dryness, under vacuum, these portions afforded 33 mg of colorless wax PEG-PE corresponding to a yield of only 3%, based on the starting phosphatidyl ethanolamine.

15           NMR analysis indicated that the product incorporated both PE residues and polyethylene glycol residues, but that in spite of the favorable-appearing elemental analysis, the chain length of the polyglycol chain has been reduced to about three to four ethylene oxide residues.

The product prepared was used for a preparation of PEG-PE liposomes.

E. Preparation of N-Polyethylene glycol 1500 P.E. by  
5 fluoride deprotection.

500 mg of crude N-1-trimethylsilyloxy polyethylene glyadol PE was dissolved in 5 ml tetrahydrofuran and 189 mg (0.600 millimoles) of tetrabutyl ammonium fluoride was added and agitated until dissolved. The reactants were  
10 let to stand over night at room temperature (20°C).

The solvent was evaporated under reduced pressure and the residue was dissolved in 10 ml chloroform, washed with two successive 10 ml portions of water, and centrifuged to separate chloroform and water phases. The  
15 chloroform phase was evaporated under vacuum to obtain 390 mg of orange-brown wax, which was determined to be impure N-polyethylene glycol 1500 PE compound.

The wax was re-dissolved in 5 ml chloroform and transferred to the top of a 21 X 270 mm column of silica gel  
20 moistened with chloroform. The column was developed by passing 100 ml of solvent through the column. The Table 2 solvents were used in sequence:

Table 2

	<u>Volume % Chloroform</u>	<u>Volume % Methanol Containing 2% Conc. Ammonium Hydroxide/methanol</u>
30	100%	0%
	95%	5%
	90%	10%
	85%	15%
	80%	20%
	70%	30%
35	60%	40%
	50%	50%
	0%	100%

5 Separated 50 ml fractions of column effluent were saved. The fractions of the column were separated by TLC on Si-C18 reversed-phase plates. TLC plates were developed with 4 volumes of ethanol mixed with 1 volume of water. Visualization was done by exposure to iodine vapor.

10 15 Only those fractions containing an iodine-absorbing lipid of  $R_f$  about 0.20 were combined and evaporated to dryness under vacuum and dried in high vacuum to constant weight. In this way 94 mg of waxy crystalline solid was obtained of M.W. 2226. The proton NMR spectrum of this material dissolved in deuteriochloroform showed the expected peaks due to the phosphatidyl ethanolamine portion of the molecule, together with a few methylene protons attributable to polyethylene glycol. ( $\Delta$  = 3.7).

Example 4

Preparation of REVs and MLVs

A. Sized REVs

20 25 A total of 15  $\mu$ moles of the selected lipid components, in the mole ratios indicated in the examples below, were dissolved in chloroform and dried as a thin film by rotary evaporation. This lipid film was dissolved in 1 ml of diethyl ether washed with distilled water. To this lipid solution was added 0.34 ml of an aqueous buffer solution containing 5 mM Tris, 100 mM NaCl, 0.1 mM EDTA, pH 7.4, and the mixture was emulsified by sonication for 1 minute, maintaining the temperature of the solution at or below room temperature. Where the liposomes were prepared to contain encapsulated [ $^{125}$ I] tyraminyl-inulin, such was included in the phosphate buffer at a concentration of about 4  $\mu$ Ci/ml buffer.

30

The ether solvent was removed under reduced pressure at room temperature, and the resulting gel was taken

up in 0.1 ml of the above buffer, and shaken vigorously. The resulting REV suspension had particle sizes, as, determined by microscopic examination, of between about 0.1 to 20 microns, and was composed predominantly of, 5 relatively large (greater than 1 micron) vesicles having one or only a few bilayer lamellae.

The liposomes were extruded twice through a polycarbonate filter (Szoka, 1978), having a selected pore size of 0.4 microns or 0.2 microns. Liposomes extruded 10 through the 0.4 micron filter averaged  $0.17 \pm (0.05)$  micron diameters, and through the 0.2 micron filter,  $0.16 (0.05)$  micron diameters. Non-encapsulated [ $^{125}\text{I}$ ] tyraminyl-inulin was removed by passing the extruded liposomes through Sephadex G-50 (Pharmacia).

15

#### B. Sized MLVs

Multilamellar vesicle (MLV) liposomes were prepared according to standard procedures by dissolving a mixture of lipids in an organic solvent containing primarily CHCl<sub>3</sub>, and drying the lipids as a thin film by rotation under reduced pressure. In some cases a radioactive label for the lipid phase was added to the lipid solution before drying. The lipid film was hydrated by addition of the desired aqueous phase and 3 mm glass beads followed by agitation with a vortex and shaking above the phase transition temperature of the phospholipid component for at least 1 hour. In some cases a radioactive label for the aqueous phase was included in the buffer. In some cases the hydrated lipid was repeatedly frozen 25 and thawed three times to provide for ease of the following extrusion step.

The size of the liposome samples was controlled by extrusion through defined pore polycarbonate filters using pressurized nitrogen gas. In one procedure, the 30

liposomes were extruded one time through a filter with pores of 0.4  $\mu\text{m}$  and then ten times through a filter with pores of 0.1  $\mu\text{m}$ . In another procedure, the liposomes were extruded three times through a filter with 0.2  $\mu\text{m}$  pores followed by repeated extrusion with 0.05  $\mu\text{m}$  pores until the mean diameter of the particles was below 100 nm as determined by DLS. Unencapsulated aqueous components were removed by passing the extruded sample through a gel permeation column separating the liposomes in the void volume from the small molecules in the included volume.

C. Loading  $^{67}\text{Ga}$  Into DF-Containing Liposomes

The protocol for preparation of  $\text{Ga}^{67}$ -DF labeled liposomes as adapted from known procedures (Gabizon, 1989). Briefly, liposomes were prepared with the ion chelator desferal mesylate encapsulated in the internal aqueous phase to bind irreversibly Ga transported through the bilayer by hydroxyquinoline (oxine).

20

D. Dynamic Light Scattering

Liposome particle size distribution measurements were obtained by DLS using a NICOMP Model 200 with a Brookhaven Instruments BI-2030AT autocorrelator attached. The instruments were operated according to the manufacturer's instructions. The NICOMP results were expressed as the mean diameter and standard deviation of a Gaussian distribution of vesicles by relative volume.

30

Example 5

Liposome Blood Lifetime Measurements

A. Measuring Blood Circulation Time and Blood/-RES Ratios

In vivo studies of liposomes were performed in two different animal models: Swiss-Webster mice at 25 g, each and laboratory rats at 200-300 g each. The studies in mice involved tail vein injection of liposome samples, 5 at 1  $\mu$ M phospholipid/mouse followed by animal sacrifice after a defined time and tissue removal for label quantitation by gamma counting. The weight and percent of the injected dose in each tissue were determined. The studies in rats involved establishment of a chronic 10 catheter in a femoral vein for removal of blood samples at defined times after injection of liposome samples in a catheter in the other femoral artery at 3-4  $\mu$ M phospholipid/rat. The percent of the injected dose remaining in the blood at several time points up to 24 hours was 15 determined.

B. Time Course of Liposome Retention in the Bloodstream

PEG-PE composed of methoxy PEG, molecular weight 20 1900 and 1-palmitoyl-2-oleyl-PE (POPE) was prepared as in Example 2. The PEG-POPE lipid was combined with and partially hydrogenated egg PC (PHEPC) in a lipid:lipid mole ratio of about 0.1:2, and the lipid mixture was hydrated and extruded through a 0.1 micron polycarbonate 25 membrane, as described in Example 4, to produce MLV's with average size about 0.1 micron. The MLV lipids included a small amount of radiolabeled lipid marker  $^{14}$ C-cholesteryl oleate, and the encapsulated marker  $^3$ H-inulin.

The liposome composition was injected and the percent initial injected dose in mice was determined as described in Example 4, at 1, 2, 3, 4, and 24 after injection. The time course of loss of radiolabeled material is seen in Figure 7 which is a plot of percent injected dose for

encapsulated inulin (solid circles), inulin marker corrected to the initial injection point of 100% (open circles), and lipid marker (closed triangles), over a 24-hour period post injection. As seen, both lipid and 5 encapsulated markers showed greater than 10% of original injected dose after 24 hours.

#### C. 24 Hour Blood Liposome Levels

Studies to determine percent injected dose in the 10 blood, and blood/RES ratios of a liposomal marker, 24 hours after intravenous liposome injection, were carried out as described above. Liposome formulations having the compositions shown at the left in Table 3 below were prepared as described above. Unless otherwise noted, the 15 lipid-derivatized PEG was PEG-1900, and the liposome size was 0.1 micron. The percent dose remaining in the blood 24 hours after intravenous administration, and 24-hour blood/RES ratios which were measured are shown in the center and right columns in the table, respectively.

20

Table 3

	<u>Lipid Composition*</u>	<u>24 Hours After IV Dose</u>	
		% Injected Dose in Blood	B/RES
25	PG:PC:Chol (.75:9.25:5)	0.2	0.01
	PC:Chol (10:5)	0.8	0.03
	PEG-DSPE:PC:Chol	23.0	3.0
30	PEG-DSPE:PC:Chol (250 nm)	9.0	0.5
	PEG <sub>5000</sub> -DSPE:PC:Chol	21.0	2.2
	PEG <sub>120</sub> -DSPE:PC:Chol	5.0	2.0
	PEG-DSPE:PC (0.75:9.25)	22.0	0.2
35	PEG-DSPE:PG:PC:Chol (0.75:2.25:7:5)	40.0	4.0
	PEG-DSPE:NaCholSO <sub>4</sub> :PC:Chol (0.75:0.75:9.25:4.25)	25.0	2.5

40

\*All formulations contain 33% cholesterol and 7.5% charged component and were 100 nm mean diameter except as noted. PEG-DSPE consisted of PEG<sub>1900</sub> except as noted.

As seen, percent dose remaining in the blood 24 hours after injection ranged between 5-40% for liposomes containing PEG-derivatized lipids. By contrast, in both liposome formulations lacking PEG-derivatized lipids, less than 1% of liposome marker remained after 24 hours. Also as seen in Table 3, blood/RES ratios increased from 0.01-0.03 in control liposomes to at least 0.2, and as high as 4.0 in liposomes containing PEG-derivatized liposomes.

10

C. Blood lifetime measurements with polylactic acid derivatized PE.

Studies to determine percent injected dose in the blood at several times after intravenous liposome injection were carried out as described above. Typical results with extruded MLV liposome formulation having the composition Polylactic Acid-PE:HSPC:Chol at either 2:3.5:1 or 1:3.5:1 weight% is shown in Fig 10 (solid squares). The percent dose remaining normalized at 15 min. is shown over 24 hours.

These data indicate that the clearance of the polylactic acid-coated liposomes is severalfold greater than similar formulations without polylactic acid derivatized PE.

25

D. Blood lifetime measurements with polyglycolic acid Derivatized PE.

Studies to determine percent injected dose in the blood at several times after intravenous liposome injection were carried out as described above. Typical results with extruded MLV liposome formulation having the composition Polyglycolic Acid-PE:HSPC:Chol at 2:3.5:1 weight% are shown in Fig 10 (open triangles). The percent dose remaining normalized at 15 min. is shown over

53.

24 hours.

These data indicate that the clearance of the polyglycolic acid-coated liposomes is severalfold greater than similar formulations without polyglycolic acid derivatized PE.

Example 6

Effect of Phospholipid Acyl-Chain Saturation on  
Blood/RES Ratios in PEG-PE Liposomes

PEG-PE composed of methoxy PEG, molecular weight 1900 and distearylPE (DSPE) was prepared as in Example 2. The PEG-PE lipids were formulated with selected lipids from among sphingomyelin (SM), fully hydrogenated soy PC (PC), cholesterol (Chol), partially hydrogenated soy PC (PHSPC), and partially hydrogenated PC lipids identified as PC IV1, IV10, IV20, IV30, and IV40 in Table 4. The lipid components were mixed in the molar ratios shown at the left in Table 5, and used to form MLV's sized to 0.1 micron as described in Example 4.

Table 4

Egg PC Form	Phase Transition Temperature Range °13C.	Mole % Fatty Acid Comp.						
		18:0	18:1	18:2	20:0	20:1-4	22:0	22:1-6
Native	<0	12	30	15	0	3	0	5
IV 40	<0	14	32	4	0	3	0	4
IV 30	<20-30	20	39	0	1	2	3	4
IV 20	23-45	30	10	0	2	1	3	3
IV 10	37-50	42	4	0	3	1	4	2
IV 1	49-54	56	0	0	5	0	6	0

Table 5

		<u>Blood</u>	<u>RES</u>	<u>B/RES</u>	<u>% Remaining</u>
5	PEG-PE:SM:PC:Chol 0.2:1:1:1	19.23	6.58	2.92	49.23
	PEG-PE:PHSPC:Chol 0.15:1.85:1	20.54	7.17	2.86	55.14
10	PEG-PE:PC IV1:Chol 0.15:1.85:1	17.24	13.71	1.26	60.44
	PEG-FE:PC IV1:Chol (two animals) 0.15:1.85:1	19.16	10.07	1.90	61.87
15	PEG-PE:PC IV10:Chol (two animals) 0.15:1.85:1	12.19	7.31	1.67	40.73
	PEG-PE:PC IV10:Chol 0.15:1.85:1	2.4	3.5	0.69	12.85
20	PEG-PE:PC IV20:Chol 0.15:1.85:1	24.56	7.52	3.27	62.75
	PEG-PE:PC IV20:Chol 0.15:1.85:1	5.2	5.7	0.91	22.1
25	PEG-PE:PC IV40:Chol 0.15:1.85:1	19.44	8.87	2.19	53.88
	PEG-PE:PC IV:Chol 0.15:1.85:0.5	20.3	8.8	2.31	45.5
30	PEG-PE:EPC:Chol 0.15:1.85:1	15.3	9.6	1.59	45.9

24 hours after injection, the percent material injected (as measured by percent of <sup>14</sup>C-cholesteryl oleate) remaining the blood and in the liver (L) and spleen (S) were determined, and these values are shown in the two data columns at the left in Table 4. The blood and L+S (RES) values were used to calculate a blood/RES value for each composition. The column at the right in Table 4 shows total amount of radioactivity recovered. The two low total recovery values in the table indicate anomalous clearance behavior.

The results from the table demonstrate that the blood/RES ratios are largely independent of the fluidity, or degree of saturation of the phospholipid components forming the liposomes. In particular, there was no systematic change in blood/RES ratio observed among liposomes containing largely saturated PC components (e.g., IV1 and IV10 PC's), largely unsaturated PC components (IV40), and intermediate-saturation components (e.g., IV20).

In addition, a comparison of blood/RES ratios obtained using the relatively saturated PEG-DSPE compound and the relatively unsaturated PEG-POPE compound (Example 5) indicates that the degree of saturation of the derivatized lipid is itself not critical to the ability of the liposomes to evade uptake by the RES.

#### Example 7

#### Effect of Cholesterol and Ethoxylated Cholesterol on Blood/RES Ratios in PEG-PE Liposomes

20

##### A. Effect of added cholesterol

PEG-PE composed of methoxy PEG, molecular weight 1900 and was derivatized DSPE as described in Example 6. The PEG-PE lipids were formulated with selected lipids from among sphingomyelin (SM), fully hydrogenated soy PC (PC), and cholesterol (Chol), as indicated in the column at the left in Table 5 below. The three formulations shown in the table contain about 30, 15, and 0 mole percent cholesterol. Both REV's (0.3 micron size) and MLV's (0.1 micron size) were prepared, substantially as in Example 4, with encapsulated tritium-labeled inulin.

The percent encapsulated inulin remaining in the blood 2 and 24 hours after administration, given at the right in Table 6 below, show no measurable effect of cholesterol, in the range 0-30 mole percent.

Table 6

	<u><sup>3</sup>H-Inulin</u>	% Injected Dose			
		In Blood			
		<u>2 HR.</u>	<u>24 HR.</u>	<u>2 HR.</u>	<u>24 HR.</u>
5				<sup>3</sup> H Aqueous Label (Leakage)	<sup>14</sup> C - Lipid Label
10	1) SM:PC:Chol:PEG-DSPE 1: 1: 1: 0.2				
15	100 nm MLV	19	5	48	24
	300 nm REV	23	15	67	20
20	2) SM:PC:Chol:PEG-DSPE 1: 1: 0.5: 0.2				
	300 nm REV	23	15	71	17
25	3) SM:PC:PEG-DSPE 1: 1: 0.2				
30	100 nm MLV	19	6	58	24
	300 nm REV	32	23	76	43

## B. Effect of ethoxylated cholesterol

Methoxy-ethoxycholesterol was prepared by coupling methoxy ethanol to cholesterol via the trifluorosulfonate coupling method described in Section I. PEG-PE composed of methoxy PEG, molecular weight 1900 and was derivatized DSPE as described in Example 6. The PEG-PE lipids were formulated with selected lipids from among distearylPC (DSPC), partially hydrogenated soy PC (PHSPC), cholesterol, and ethoxylated cholesterol, as indicated at the right in Table 7. The data show that (a) ethoxylated cholesterol, in combination with PEG-PE, gives about the

57.

same degree of enhancement of liposome lifetime in the blood as PEG-PE alone. By itself, the ethoxylated cholesterol provides a moderate degree of enhancement of liposome lifetime, but substantially less than that provided by PEG-PE.

Table 7

	<u>Formulation</u>	% Injected Dose In Blood	
		<sup>35</sup> C-Chol-Oleate 2 HR.	24 HR.
10	HSPC:Chol:PEG-DSPE 1.85: 1: 0.15	55	9
15	HSPC:Chol:PEG-DSPE:PEG <sub>5</sub> -Chol 1.85: 0.85: 0.15: 0.15	57	9
20	HSPC:Chol:HPC:PEG <sub>5</sub> -Chol 1.85: 0.85: 0.15: 0.15	15	2
	HSPC:Chol:HPG 1.85: 1: 0.15	4	1
25			

Example 8Effect of Charged Lipid Components on  
Blood/RES Ratios in PEG-PE Liposomes

PEG-PE composed of methoxy PEG, molecular weight 30 1900 and was derivatized DSPE as described in Example 6. The PEG-PE lipids were formulated with lipids selected from among egg PG (PG), partially hydrogenated egg PC (PHEPC), and cholesterol (Chol), as indicated in the Figure 7. The two formulations shown in the figure contained about 4.7 mole percent (triangles) or 14 mole percent (circles) PG. The lipids were prepared as MLV's, sized to 0.1 micron as in Example 4.

The percent of injected liposome dose present 0.25, 1, 2, 4, and 24 hours after injection are plotted for 40 both formulations in Figure 7. As seen, the percent PG

**SUBSTITUTE SHEET**

in the composition had little or no effect on liposome retention in the bloodstream. The rate of loss of encapsulated marker seen is also similar to that observed for similarly prepared liposomes containing no PG.

5

Example 9

Plasma Kinetics of PEG-Coated and Uncoated Liposomes

PEG-PE composed of methoxy PEG, molecular weight 1900 and distearylPE (DSPE) was prepared as in Example 2. 10 The PEG-PE lipids were formulated with PHEPC, and cholesterol, in a mole ratio of 0.15:1.85:1. A second lipid mixture contained the same lipids, but without PEG-PE. Liposomes were prepared from the two lipid mixtures as described in Example 5, by lipid hydration in the presence of desferal mesylate, followed by sizing to 0.1 micron, and removal of non-entrapped desferal by gel filtration with subsequent loading of <sup>67</sup>Ga-oxine into the liposomes. The unencapsulated <sup>67</sup>Ga was removed during passage through a Sephadex G-50 gel exclusion column. 15 Both compositions contained 10  $\mu$ moles/ml in 0.15 M NaCl, 0.5 mM desferal.

20

The two liposome compositions (0.4 ml) were injected IV in animals, as described in Example 6. At time 0.25, 1, 3 or 5 and 24 hours after injection, blood samples 25 were removed and assayed for amount inulin remaining in the blood, expressed as a percentage of the amount measured immediately after injection. The results are shown in Figure 9. As seen, the PEG-coated liposomes have a blood halflife of about 11 hours, and nearly 30% of the 30 injected material is present in the blood after 24 hours. By contrast, uncoated liposomes showed a halflife in the blood of less than 1 hour. At 24 hours, the amount of injected material was undetectable.

Example 10Preparation of Doxorubicin Liposomes

Vesicle-forming lipids containing PEG-PE, PG, PHEPC, and cholesterol, in a mole ratio of 0.3: 0.3: 1.4: 1 were dissolved in chloroform to a final lipid concentration of 25  $\mu$ mol phospholipid/ml. Alpha-tocopherol ( $\alpha$ -TC) in free base form was added in chloroform:methanol (2:1) solution to a final mole ratio of 0.5%. The lipid solution was dried to a thin lipid film, then hydrated with a warm (60°C) solution of 125 mM ammonium sulfate containing 1 mM desferal. Hydration was carried out with 1 ml of aqueous solution per 50 $\mu$ mole phospholipid. The lipid material was hydrated with 10 freeze/thaw cycles, using liquid nitrogen and a warm water bath.

Liposome sizing was performed by extrusion through two Nuclepore polycarbonate membranes, 3 cycles through 0.2 microns filters, and ten cycles through 0.05 micron filters. The final liposome size was 100 nm. The sized liposomes were then dialyzed against 50-100 volumes of 5% glucose three times during a 24 hour period. A fourth cycle was carried out against 5% glucose titered to pH 6.5-7.0 for 1 hour.

A solution of doxorubicin, 10 mg/ml in 0.9% NaCl and 1 mM desferal, was prepared and mixed with an equal volume of the dialyzed liposome preparation. The concentration of drug in the mixture was about 5 mg/ml drug 50  $\mu$ moles/ml phospholipid. The mixture was incubated for 1 hours at 60°C in a water bath with shaking. Untrapped drug was removed by passage through a Dowex 50 WX resin packed in a small column. The column was centrifuged in a bench top centrifuge for 5 minutes to completely elute the liposome suspension. Sterilization of the mixture was by passage through a 0.45 micron membrane, and the liposomes were stored at 5°C.

Example 11Plasma Kinetics of Free and Liposomal Doxorubicin

PEG-PE composed of methoxy PEG, molecular weight 5 1900 and distearylPE (DSPE) was prepared as in Example 2. The PEG-PE lipids were formulated with hydrogenated soy bean PC (HSPC) and cholesterol, in a mole ratio of 0.15:1.85:1 (PEG-Dox). A second lipid mixture contained hydrogenated phosphatidylinositol (HPI), HSPC cholesterol, in a mole ratio of 1:10:5 (HPI-Dox). Each lipid formulation was used in preparing sized MLVs containing 10 an ammonium ion gradient, as in Example 10.

The liposomes were loaded with doxorubicin, by mixing with an equal volume of a doxorubicin solution, 15 mg/ml plus 1 mM desferal, as in Example 15. The two compositions are indicated in Figure 11 and Table 7 below as PEG-DOX and HPI-DOX liposomes, respectively. A doxorubicin HCl solution (the marketed product, Free Dox) was obtained from the hospital pharmacy. Free DOX, PEG-Dox 20 and HPI-Dox were diluted to the same concentration (1.8 mg/ml) using unbuffered 5% glucose on the day of injection. Dogs were randomized into three groups (2 females, 1 male) and weighed. An 18 gauge Venflon IV catheter was inserted in a superficial limb vein in each animal. The 25 drug and liposome suspensions were injected by quick bolus (15 seconds). Four ml blood samples were before injection and at 5, 10, 15, 30, 45 min, 1, 2, 4, 6, 8, 10, 12, 24, 48 and 72 hours post injection. In the liposome groups blood was also drawn after 96, 120, 144, and 30 168 hours. Plasma was separated from the formed elements of the whole blood by centrifugation and doxorubicin concentrations assayed by standard fluorescence techniques. The amount of doxorubicin remaining in the blood was expressed as a percentage of peak concentration of

labeled drug, measured immediately after injection. The results are plotted in Figure 11, which shows that both the PEG-DOX and HPI-DOX compositions give linear logarithmic plots (single-mode exponential), and free drug give a bimodel exponential curve, as indicated in Table 8 below. The halflives of the two liposome formulations determined from these curves are indicated in Table 8.

Also shown in Table 8 is the area under the curve (AUC) determined by integrating the plasma kinetic curve over the 72 hour test period. The AUC results indicate that the total availability of drug from PEG-DOX liposomes, for the 72 hour period following injection, was nearly twice that of HPI-DOX liposomes. This is consistent with the approximately twofold greater halflife of the PEG-DOX liposomes. The "CL" entry in Table 9 indicates ...

Table 8

	Kinetic Pattern	<u>Free DOX</u>	<u>HPI-DOX</u>	<u>PEG-DOX</u>
		Bi-exp.	Mono-exp.	Mono-exp.
20	Peak Conc. (mg/l)	0.4-2.2	4.3-6.0	4.5-5.0
	AUC (mg/l)	7.1-10.0	73.9-97.5	132.9-329.9
25	t <sub>1/2</sub> hr	1.9-3.3	11.1-12.0	19.6-45.5
	CL (mg/hr)	0.6-0.9	1.1-1.6	1.3-2.2

Example 12Tissue Distribution of Doxorubicin

## 30 A. Subcutaneous Tumor

PEG-liposomes loaded with doxorubicin were prepared as in Example 11 (PEG-DOX liposomes). Free drug used was clinical material obtained from the hospital pharmacy.

Two groups of twelve mice were injected subcutaneously with 10<sup>6</sup> J-6456 tumor cells. After 14 days the

tumors had grown to about 1 cm<sup>3</sup> in size in the subcutaneous space and the animals were injected IV (tail vein) with 10 mg/kg doxorubicin as free drug (group 1) or encapsulated in PEG liposomes (group 2). At 4, 24, and 5 48 hours after drug injection, four animal in each group were sacrificed, and sections of tumor, heart, and muscle tissue were excised. Each tissue was weighed, then homogenized and extracted for determination of doxorubicin concentration using a standard fluorescence assay procedure (Gabizon, 1989). The total drug measured in each homogenate was expressed as µg drug per gram tissue.

The data for drug distribution in heart, muscle, and liver are plotted in Figures 12A and 12B for free and liposome-associated doxorubicin, respectively. In Figure 15 12A it is seen that all three tissue types take up about the same amount of drug/g tissue, although initially the drug is taken up preferentially in the heart. By contrast, when entrapped in PEG-liposomes, the drug shows a strong selective localization in the tumor, with reduced 20 levels in heart and muscle tissue.

B. Ascites Tumor

Two groups of 15 mice were injected interperitoneally with 10<sup>6</sup> J-6456 lymphoma cells. The tumor was allowed to grow for one-two weeks at which time 5 ml of ascites 25 fluid had accumulated. The mice were then injected IV with 10 mg/kg doxorubicin either in free drug form (group 1) or entrapped in PEG liposomes as described in Example 11 (group 2). Ascites fluid was withdrawn from three animals in each group at 1, 4, 15, 24 and 48 hours post treatment. The ascites tumor was further fractionated 30 into cellular and fluid components by centrifugation (15 min. 5000 rpm). Free and liposome-bound drug in the supernatant was determined by passing the fluid through a Dowex WX resin, as above, to remove free drug. The

doxorubicin concentrations in the ascites fluid, tumor cells, supernatant, and resin-treated supernatant were then determined, and from these values,  $\mu\text{g}$  doxorubicin/-gram tissue was calculated. The values for total ascites fluid supernatant (solid diamonds), supernatant after removal of free drug (solid triangles), and isolated tumor cells (solid circles) are plotted in Figure 13. As seen, the total doxorubicin in the ascites fluid increased steadily up to about 24 hours, then dropped slightly over the next 24 hours. Most of the doxorubicin in the tumor is in liposome-entrapped form, demonstrating that liposomes are able to extravasate into solid tumors in intact form.

In a similar experiment two groups of twelve mice were implanted IP with the J-6456 lymphoma and the tumor was allowed to establish as described above. Once the ascites tumor had reached about 5 ml, one group of animals was injected with 10 mg/kg free doxorubicin and the other group with 10 mg/kg doxorubicin entrapped in PEG liposomes. At 4, 24 and 48 hours post treatment ascites fluid and blood samples were withdrawn from four animals in each group and the animals were sacrificed. Sections of liver and heart tissue were excised from each animal, homogenized and drug concentration assayed as described above. Plasma was separated from whole blood by centrifugation and drug concentration assayed as stated above. Doxorubicin concentration in the ascites fluid was also measured. The results are presented in Table 9. Plasma and ascites fluid levels are expressed as  $\mu\text{g}$  doxorubicin per ml and liver and heart tissue values as  $\mu\text{g}$  doxorubicin per gram tissue. The standard deviations for each measurement is shown in parentheses. As shown, there is considerably more doxorubicin in plasma for the group receiving the drug in PEG liposome entrapped form at all

time points. Ascites tumor levels are also higher in the liposome group, particularly at the longer time points (24 and 48 hours). These data confirm the selective delivery of the drug to the tumor by the PEG liposomes.

5

Table 9  
Plasma µg/ml (SD)

	Hours	<u>Free</u>	<u>PEG-DOX</u>
10	4	0.9 (0.0)	232.4 (95.7)
	24	0.0	118.3 (6.7)
	48	0.0	84.2 (20.3)
15	Ascites Tumor (tumor & fluid)		
	4	0.3 (0.1)	3.8 (2.0)
	24	0.1 (0.1)	23.0 (8.9)
	48	0.4 (0.3)	29.1 (2.0)
20	Liver µg/grams (SD)		
	4	8.1 (1.4)	undetectable
	24	6.2 (4.8)	9.8 (5.9)
	48	6.1 (3.6)	10.2 (0.1)
25	Heart		
	4	5.7 (3.4)	2.4 (0.9)
	24	2.5 (0.3)	2.1 (0.4)
	48	1.5 (0.6)	2.3 (0.1)
30	Tumor/Heart		
	4	0.0052	0.63
	24	0.04	10.9
	48	0.266	12.6
35			

Example 13

Tumor Uptake of PEG Liposomes Compared with Conventional Liposomes

Two groups of 6 mice were injected subcutaneously with  $10^5$ - $10^6$  C-26 colon carcinoma cells and the tumor was allowed to grow in the subcutaneous space until it reached a size of 45 about 1 cm<sup>3</sup> (about two weeks following injection). Each

65.

group of animals was then injected with 0.5 mg of either conventional liposomes (100 nm DSPC/Chol, 1:1) or PEG liposomes (100 nm DSPC/Chol/PEG-DSPE, 10:3:1) which had been loaded with radioactive gallium as described in Example 4.

- 5 Three mice from each group were sacrificed at 2, 24 and 48 hours post treatment, the tumors excised and weighed and the amount of radioactivity quantified using a gamma counter. The results are presented in the following table and are expressed as the percent of the injected dose per gram tissue.

Table 10

15	PEG			CONVENTIONAL			RATIO IN TUMOR*	
	Blood	Liver	Tumor	Blood	Liver	Tumor		
	2 hr	38.2	7.2	3.8	34.1	11.0	3.7	1.0
20	24 hr	15.1	14.6	4.2	7.6	21.6	3.9	1.1
	48 hr	5.5	13.8	3.5	1.2	25.0	1.7	2.1

25 \*Expressed as amount of PEG Liposomes divided by amount of conventional liposomes localized in the tumor

#### Example 14

##### Liposome Extravasation into Intact Tumors:

30 Direct Microscopic Visualization  
 PEG-PE composed of methoxy PEG, molecular weight 1900 and distearylPE (DSPE) was prepared as in Example 2. The PEG-PE lipids were formulated with HSPC, and cholesterol, in a mole ratio of 0.15:1.85:1. PEG-liposomes 35 were prepared to contain colloidal gold particles (Hong). The resulting MLVs were sized by extrusion, as above, to an average 0.1 micron size. Non-entrapped material was removed by gel filtration. The final concentration of liposomes in the suspension was about 10  $\mu\text{mol}/\text{ml}$ .

**SUBSTITUTE SHEET**

In a first study, a normal mouse was injected IV with 0.4 ml of the above liposome formulation. Twenty four hours after injection, the animal was sacrificed, and sections of the liver removed fixed in a standard water-soluble plastic resin. Thick sections were cut with a microtome and the sections stained with a solution of silver nitrate according to instructions provided with the "Intense 2" System kit supplied by Jannsen Life Sciences, Inc. (Kingsbridge, Piscataway, N.J.). The sections were further stained with eosin and hematoxylin.

Figure 14A is a photomicrograph of a typically liver section, showing smaller, irregularly shaped Kupfer cells, such as cells 20, among larger, more regular shaped hepatocytes, such as hepatocytes 22. The Kupfer cells show large concentrations of intact liposomes, seen as small, darkly stained bodies, such at 24 in Figure 14A. The hepatocytes are largely free of liposomes, as would be expected.

In a second study, a C-26 colon carcinoma (about  $10^6$  cells) was implanted in a mouse liver. Fourteen days post implantation, the animal was injected IV with 0.5 mg of the above liposomes. Twenty four hours later, the animal was sacrificed, and the liver was perfusea, embeded, sectioned, and stained as above. The sections were examined for a capillary-fed tumor region. One exemplary region is seen in Figure 14B, which shows a capillary 26 feeding a region of carcinoma cells, such as cells 28. These cells have characteristic staining patterns, and often include darkly stained nuclii in various stages of mitosis. The capillary in the figure is lined by an endothelial barrier 30, and just below that, a basement membrane 32.

It can be seen in Figure 14B that liposomes, such as liposomes 34, are heavily concentrated in the tumor region, adjacent the capillary on the tumor side of the endothelial barrier and basement membrane, and many liposomes are also dispersed throughout the intercellular fluid surrounding the tumor cells.

Figure 14C shows another region of the liver tumor from the above animal. Liposomes are seen throughout the intercellular fluid bathing the carcinoma cells.

In a third study, C26 colon carcinoma cells were injected subcutaneously into an animal, and allowed to grow in the animal for 28 days. Thereafter, the animal was injected IV with 0.5 mg of the above liposomes. Twenty four hours later, the animal was sacrificed, and the tumor mass was excised. After embedding, tumor mass was sectioned on a microtome and stained as above. Figure 14D shows a region of the tumor cells, including a cell 36 in the center of the figure which is in late stage mitosis. Small, darkly stained liposomes are seen throughout the intercellular fluid.

### **Example .15**

### Tumor Treatment Method

Vesicle-forming lipids containing PEG-PE, PG, PHEPC,  
25 and cholesterol and  $\alpha$ -TC in a mole ratio of 0.3: 0.3:  
1.4: 1: 0.2 were dissolved in chloroform to a final lipid  
concentration of 25  $\mu\text{mol}$  phospholipid/ml. The lipid mix-  
ture was dried into a thin film under reduced pressure.  
The film was hydrated with a solution of .125M ammonium  
30 sulfate to form MLVs. The MLV suspension was frozen in a  
dry ice acetone bath and thawed three times and sized to  
80-100 nm. An ammonium ion gradient was created substan-  
tially as described in Example 10. The liposomes were  
loaded with epirubicin, and free (unbound drug) removed

also as described in Example 10 for doxorubicin. The final concentration of entrapped drug was about 50-100  $\mu$ g drug/ $\mu$ mol lipid. Epirubicin HCl and doxorubicin HCL, the commercial products, were obtained from the hospital 5 pharmacy.

About  $10^6$  cells C-26 colon carcinoma cells were injected subcutaneously into three groups of 35 mice. The groups were subdivided into 5 7-animal subgroups.

For the tumor suppression experiment shown in Figure 10 15A each subgroup was injected IV with 0.5 ml of either saline vehicle control (open circles), 6 mg/kg epirubicin (open triangles), 6 mg/kg doxorubicin (filled circles), or the drug-loaded liposomes (PEG-DOX liposomes) at two 15 doses, 6mg/kg (filled triangles) and 12 mg/kg (open squares) on days 1, 8 and 15 following tumor cell implantation. Each group was followed for 28 days. Tumor size was measured for each animal on days 5,7,12,14,17,21,24 and 28. The growth of the tumor in each subgroup (expressed as the mean tumor size of the individual animals) 20 at each time point is plotted in Figure 15A.

With reference to this figure, neither free doxorubicin nor free epirubicin at .6 mg/kg significantly suppressed tumor growth compared with the saline control. In contrast, PEG liposome entrapped epirubicin both doses 25 significantly suppresses tumor growth. With respect to survival of the animals at 120 days following tumor implantation, none of the animals in the saline, epirubicin or doxorubicin groups survived whereas 5 out of the seven and seven out of seven survived in the 6 mg/kg 30 liposome epirubicin and 12 mg/kg liposome epirubicin groups, respectively.

The results of delayed treatment experiments using the same tumor model are presented in Figure 15B and 15C. The same number of animals were inoculated with the same

number of tumor cells as described above. The treatment groups in Figures 15B and 15C consisted of saline (solid line), 6 mg/kg epirubicin (filled triangles), 6 mg/kg free epirubicin plus empty PEG liposomes (open circles) 5 and two doses of epirubicin entrapped in PEG liposomes, 6 mg/kg (filled triangles) and 9 mg/kg (open squares). In contrast to the results presented in Figure 15A, only two treatments were given in these experiments: days 3 and 10 for the results plotted in Figure 15B; and days 10 and 10 17 for the results plotted in Figure 15C. Importantly, in the case of the PEG liposome entrapped drug, both delayed treatment schedules at both dose levels result in tumor regression whereas the free drug and free drug plus empty liposome treatment groups show only a modest retardation in the rate of tumor growth.

Example 16

Tumor Treatment Method

PEG-DOX liposomes were prepared as in Example 15 except that doxorubicin was loaded in the liposomes to a final level of 60-80  $\mu\text{g}/\mu\text{moles}$  total lipid. A doxorubicin HCl solution to be used as the free drug control was obtained from a hospital pharmacy. A total of 30 mice 20 were injected IP with  $10^6$  J-6456 lymphoma cells. The animals were divided into three 10-animal groups, each of which was injected IV with 0.4 ml of either saline vehicle, 10 mg/kg doxorubicin solution or the doxorubicin-loaded liposomes at 10 mg/kg. Each group was followed 25 for 100 days for number of surviving animals. The percent survivors for each treatment group is plotted in 30 Figure 16.

As can be seen, free drug (filled circles) provided little improvement in survival over the saline group (filled squares). In the animals treated with doxorubi-

cin loaded PEG-liposomes (filled triangles), however, about 50% of the animals survived over 40 days, 20% over 70 days, and 10% survived until the experiment was terminated at 100 days.

5

Example 17

Reduced Toxicity of PEG-Liposomes

Solutions of free doxorubicin HCl, epirubicin HCl were obtained as above. PEG-liposome formulations containing either doxorubicin or epirubicin, at a drug concentration of 70-90 µg compound/µmole liposome lipid, were prepared as described in Example 16. Conventional liposomes (no PEG-derivatized lipid) were loaded with doxorubicin to a drug concentration of 40 µg/µmole lipid 15 using standard techniques.

Each of the five formulations was administered to 35 mice, at a dose between 10 and 40 mg drug/kg body weight, in 5 mg/kG increments, with five receiving each dosage. The maximum tolerated dose given in Table 11 below is 20 highest dose which did not cause death or dramatic weight loss in the injected animals within 14 days. As seen from the data, both DOX-liposomes and PEG-DOX liposomes more than doubled the tolerated dose of doxorubicin over the drug in free form, with the PEG-DOX liposomes giving 25 a slightly higher tolerated dose. A similar result was obtained for doses of tolerated epirubicin in free and PEG-liposomal form.

Table 11

Maximum Tolerated Dose of DXN  
(mg/Kg in mice)

5	DXN	10-12
	DOX-Lip	25-30
	PEG-DXN-Lip	25-35
	EPI	10
	PEG-EPI	20

10

## Example 18

## Tumor Treatment Method

15       Conventional doxorubicin liposomes (L-DOX) were prepared according to published methods. Briefly, a mixture of eggPG, Egg, PC, cholesterol and a-TC in a mole ratio of 0.3: 1.4: 1: 0.2 was made in chloroform. The solvent was removed under reduced pressure and the dry lipid film  
 20       hydrated with a solution of 155 mM NaCl containing 2-5 mg doxorubicin HCl. The resulting MLV preparation was down-sized by extrusion through a series of polycarbonate membranes to a final size of about 250 nm. The free (unentrapped) drug was removed by passing the suspension  
 25       over a bed of Dowex resin. The final doxorubicin concentration was about 40 per  $\mu$ mole lipid.

30       Three groups of 7 mice were inoculated subcutaneously with  $10^5$  -  $10^6$  C-26 colon carcinoma cells as detailed in Example 15. The animals were divided into three, 7-animal treatment groups, one of which received 0.5 ml of saline vehicle as a control. The other two groups were treated with doxorubicin either as a free drug solution or in the form of L-DOX liposomes at a dose of 10 mg/kg. The treatments were given on days 8, 15 and 22 after tumor cell inoculation. Tumor size was measured on the days treatments were given and day 28. As shown in Figure 17, the free drug (filled circles) suppressed tumor growth to a modest extent compared with the saline  
 35

control (solid line). The tumor in the L-Dox-treated group (filled triangles) grew slightly faster than the free-drug-treated group and slightly more slowly than in the untreated group. These results indicate that the  
5 anti-tumor activity of the L-DOX preparation is about the same, and certainly no better than the same dose of free drug. This stands in marked contrast to the results presented in Example 15 (and Figures 15A-C) which show that at comparable doses epirubicin entrapped in PEG-  
10 liposomes has dramatically better anti-tumor activity than free drug in this same tumor model.

Although the invention has been described and illustrated with respect to particular derivatized lipid compounds, liposome compositions, and use, it will be apparent that a variety of modifications and changes may be made without departing from the invention.  
15

## IT IS CLAIMED:

1. A liposome composition for use in localizing a compound in a solid tumor via the bloodstream comprising,
  - 5       liposomes (i) composed of vesicle-forming lipids and between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a hydrophilic polymer, and (ii) having a selected mean particle diameter in the size range between about 0.07-0.12 microns, and
  - 10      the compound in liposome-entrapped form.
2. The composition of claim 1, wherein the hydrophilic polymer is polyethyleneglycol having a molecular weight between about 1,000-5,000 daltons.
- 15       3. The composition of claim 2, wherein the hydrophilic polymer is selected from the group of poly lactic acid and poly glycolic acid.
- 20       4. The composition of claim 1, wherein the compound is an anti-tumor agent, and at least about 80% of the compound is in liposome-entrapped form.
- 25       5. The composition of claim 4, wherein the anti-tumor agent is an anthracycline antibiotic, and the concentration of compound which is entrapped in the liposomes is greater than 50 µg compound/µmole liposome lipid.
- 30       6. The composition of claim 4, wherein the anthracycline is selected from the group consisting of doxorubicin, epirubicin, and daunorubicin, including pharmaco logically acceptable salts and acids thereof.

7. A liposome composition for use in localizing an anthracycline anti-tumor drug in a solid tumor via the bloodstream comprising,

liposomes (i) composed of vesicle-forming lipids and  
5 between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with polyethyleneglycol, and (ii) having an average size in a selected size range between about 0.07-0.12 microns, and

10 the drug, at least about 80% in liposome-entrapped form, and having a concentration in the liposomes is greater than 50 µg agent/µmole liposome lipid.

8. The composition of claim 7, wherein the drug is selected from the group consisting of doxorubicin, epirubicin, and daunorubicin, including pharmacologically acceptable salts and acids thereof.

9. For use in localizing a compound in a solid tumor by IV administration of the agent, a liposome composition characterized by:

(a) liposomes composed of vesicle-forming lipids and between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a hydrophilic polymer,

25 (b) a blood lifetime, as measured by the percent of a liposomal marker present in the blood 24 hours after intravenous administration which is several times greater than that of liposomes in the absence of the derivatized lipids;

30 (c) an average liposome size in a selected size range between about 0.07-0.12 microns, and

(d) the compound in liposome-entrapped form.

10. The composition of claim 9, wherein the hydrophilic polymer is polyethyleneglycol having a molecular weight between about 1,000-5,000 daltons.

5        11. The composition of claim 9, for use in treating such tumor, wherein the compound is an anthracycline antibiotic, and the concentration of compound entrapped in the liposomes is greater than about 50 µg compound/-  
10                  µmole liposome lipid.

10                  12. The composition of claim 11, wherein the anthracycline is selected from the group consisting of doxorubicin, epirubicin, and daunorubicin, including pharmacologically acceptable salts and acids thereof.

15                  13. For use in treating a solid tumor by intravenous administration of an anthracycline antibiotic drug, a liposome composition characterized by:

- 20                  (a) liposomes composed of vesicle-forming lipids and between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a polyethyleneglycol,  
25                  (b) a blood lifetime, as measured by the percent of a liposomal marker present in the blood 24 hours after IV administration which is several times greater than that of liposomes in the absence of the derivatized lipids;  
30                  (c) an average liposome size in a selected size range between about 0.07-0.12 microns,  
                        (d) at least about 80% of the drug in liposome-entrapped form, and  
                        (e) a concentration of drug in the liposomes of at least about 50 µg drug/µmole lipid.

14. A method of preparing an agent for localization in a solid tumor, when the agent is administered by IV injection, comprising

5 entrapping the agent in liposomes which are characterized by:

(a) a composition which includes between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a hydrophilic polymer, and

10 (b) an average liposome size in a selected size range between about 0.07-0.12 microns.

15 15. The method of claim 14, wherein the agent is an anthracycline antibiotic drug, and said entrapping includes loading the agent into preformed liposomes by remote loading across an ion or pH gradient, to a final concentration of liposome-entrapped material of greater than about 50 µg agent/µmole liposome lipid.

20 16. The method of claim 15, wherein the drug is selected from the group consisting of doxorubicin, epirubicin, and daunorubicin, including pharmacologically acceptable salts and acids thereof.

25 17. A method of localizing a compound in a solid tumor in a subject comprising,

30 preparing a composition of liposomes (i) composed of vesicle-forming lipids and between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a hydrophilic polymer, (ii) having an average size in a selected size range between about 0.07-0.12 microns, and (iii) containing the compound in liposome-entrapped form, and

injecting the composition intravenously in the subject in an amount effective to localize a therapeutically effective quantity of the agent in the solid tumor.

5        18. The method of claim 17, wherein the hydrophilic polymer is polyethyleneglycol having a molecular weight between about 1,000-5,000 daltons.

10      19. A method of treating a subject having a solid tumor, comprising

15      preparing a composition of liposomes (i) composed of vesicle-forming lipids and between 1-20 mole percent of an amphipathic vesicle-forming lipid derivatized with a hydrophilic polymer, (ii) having an average size in a selected size range between about 0.07-0.12 microns, and (iii) containing an anthracycline antibiotic drug entrapped in the liposomes, at a concentration of entrapped agent of greater than about 50 µg agent/µmole liposome lipid, with at least about 80% of the agent entrapped in the liposomes, and

20      injecting the composition intravenously in the subject in an amount effective to localize a therapeutically effective quantity of the agent in the solid tumor.

25      20. The method of claim 19, wherein the hydrophilic polymer is polyethyleneglycol having a molecular weight between about 1,000-5,000 daltons, and the agent is selected from the group consisting of doxorubicin, epirubicin, and daunorubicin, including pharmacologically acceptable salts and acids thereof.

1/11

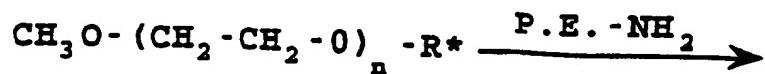
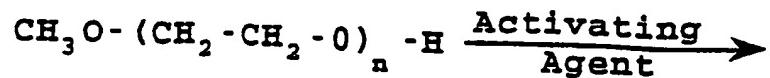


Fig. 1

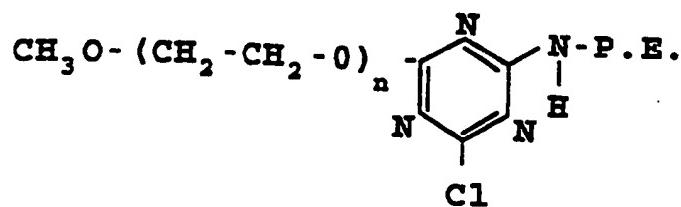
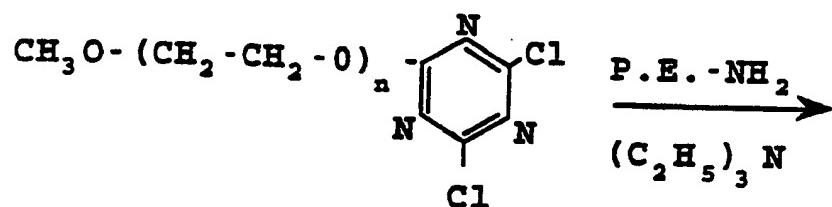
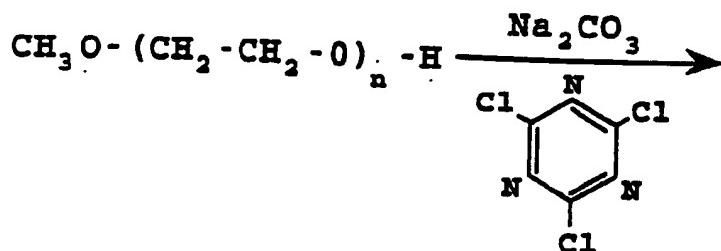


Fig. 2

2/11

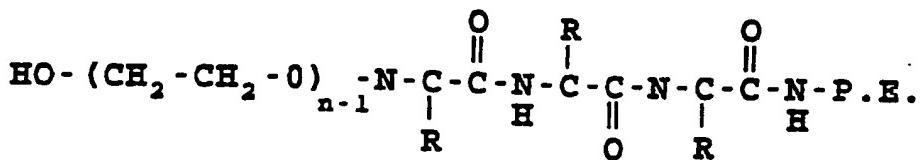
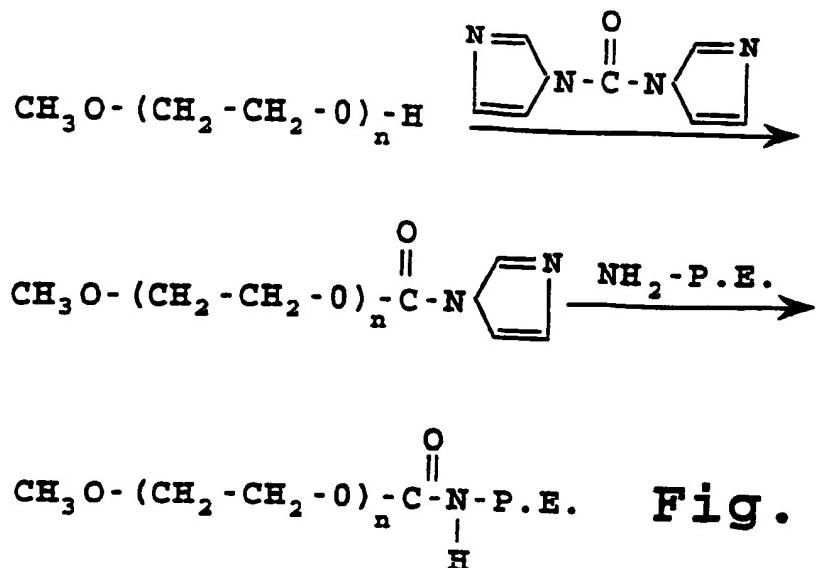


Fig. 5A

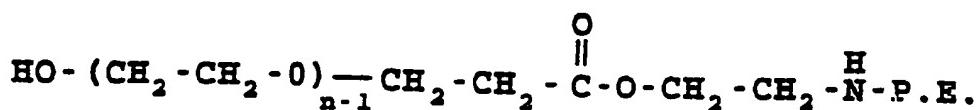


Fig. 5B

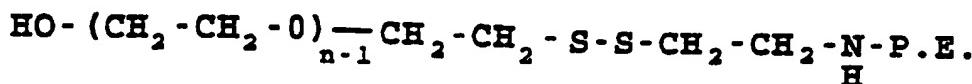


Fig. 5C

3/11

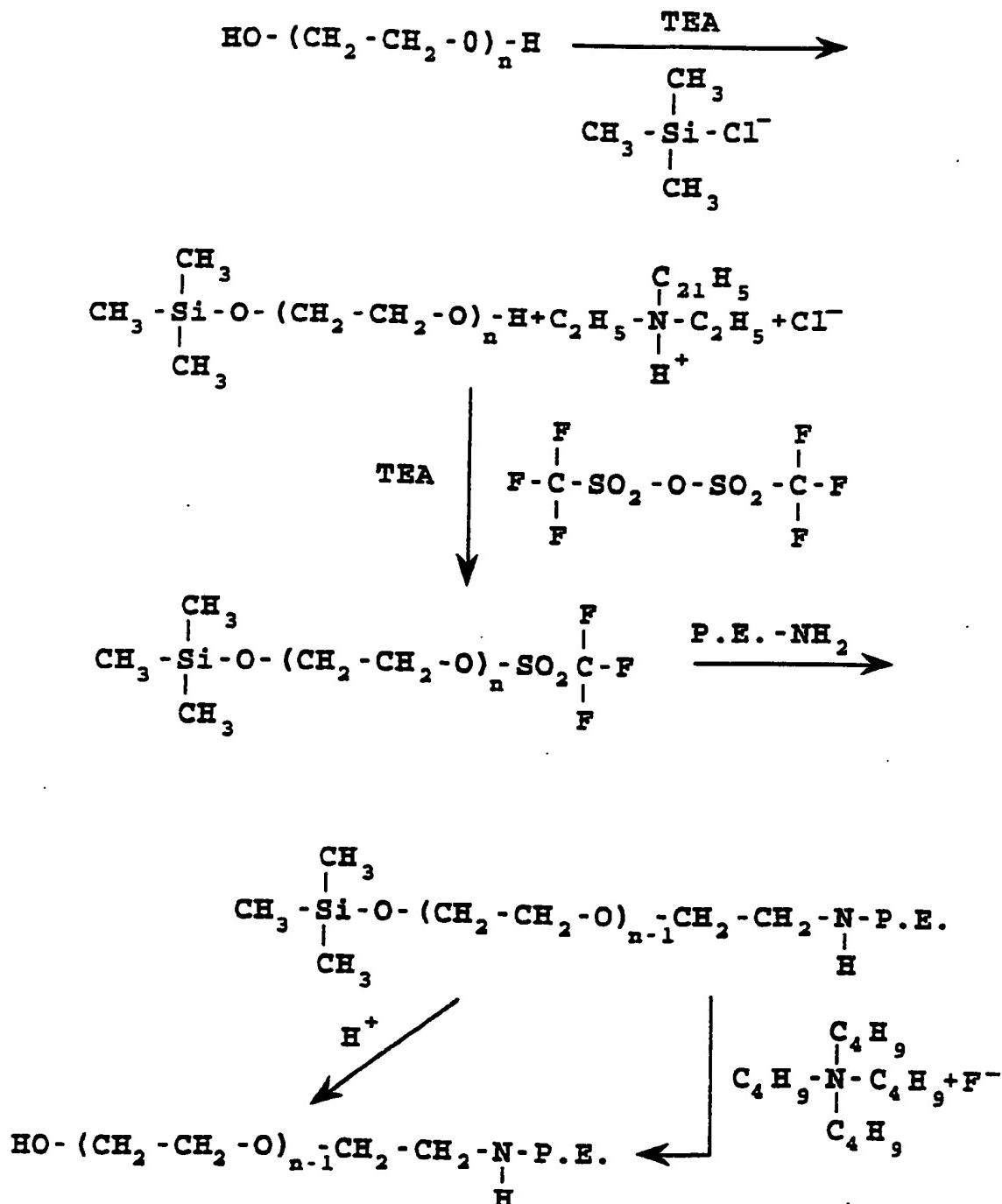


Fig. 4

4/11

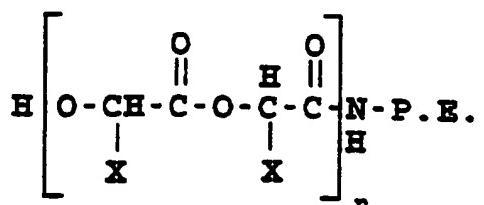
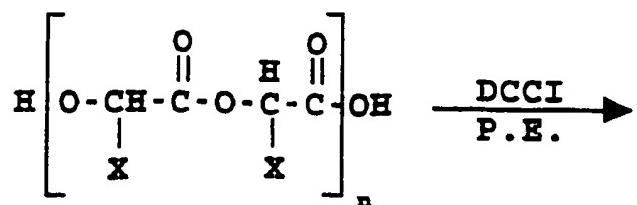


Fig. 6

$\text{X}=\text{CH}_3$ , for lactic acid polymers  
or  $\text{H}$  for glycolic acid polymers

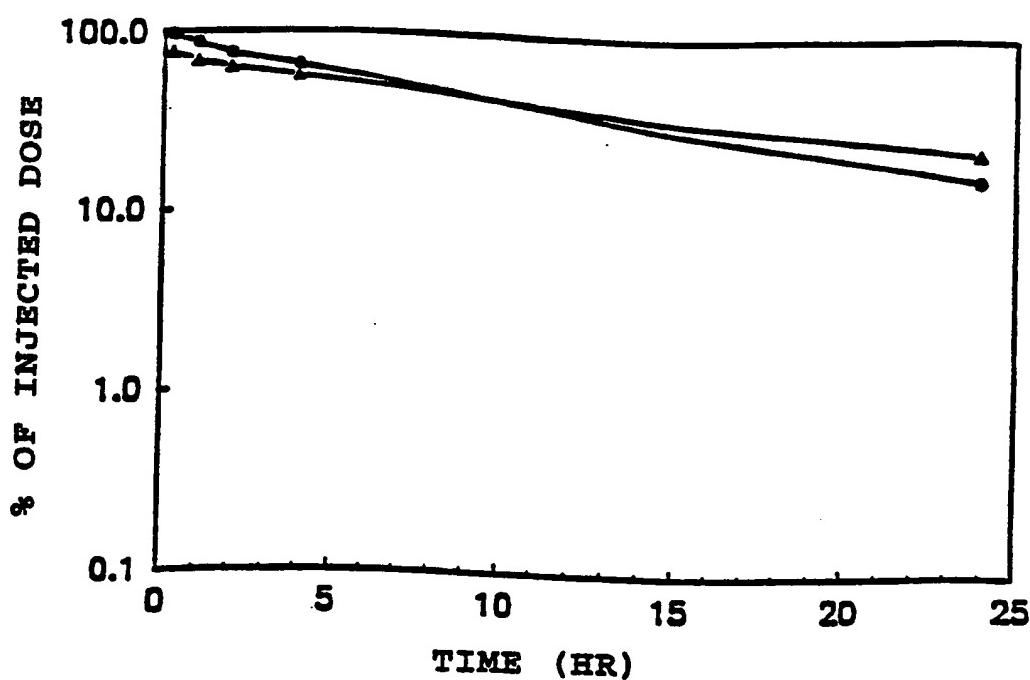
 $n=10-50$ 

Fig. 7

5/11

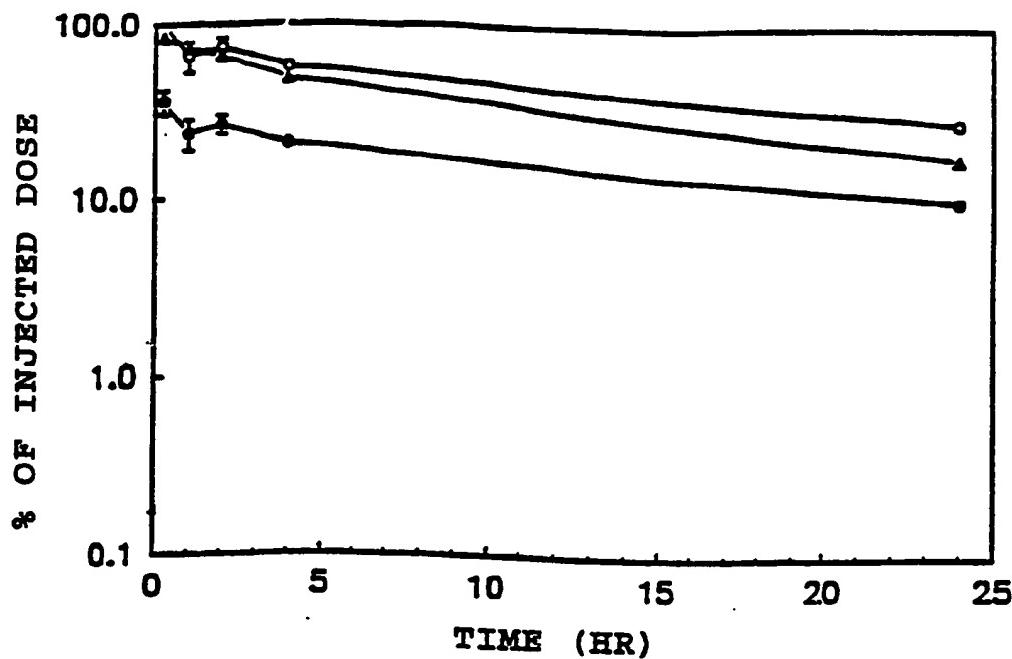


Fig. 8

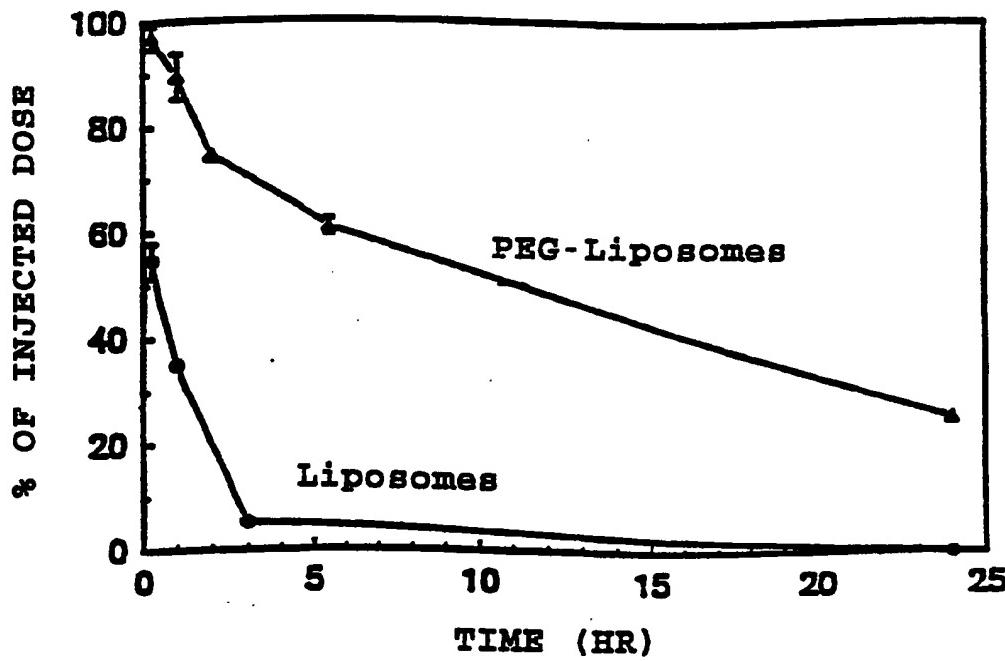


Fig. 9

6/11

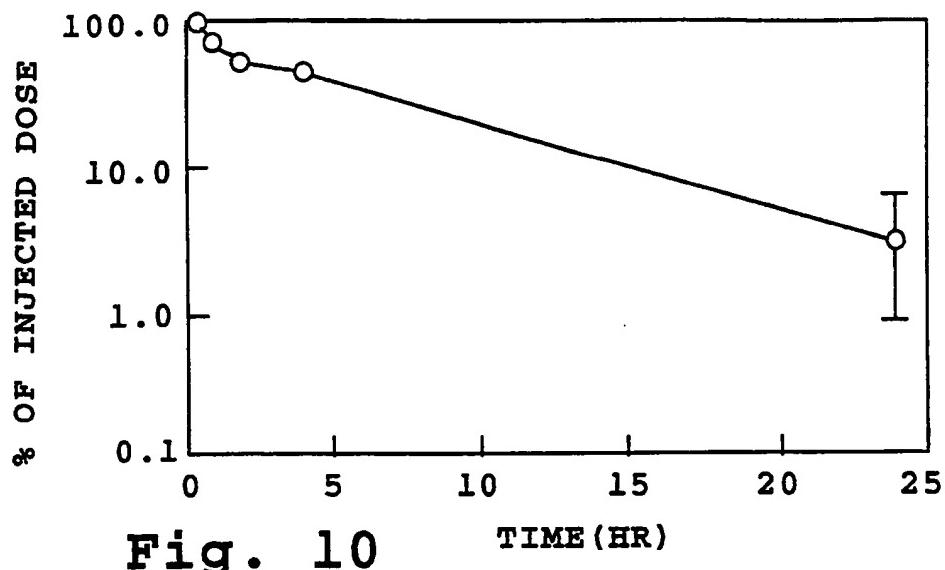


Fig. 10

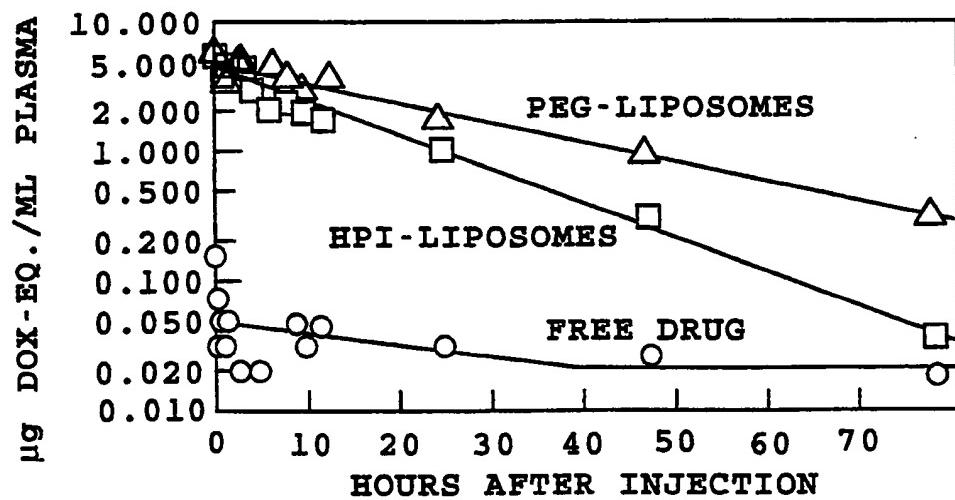
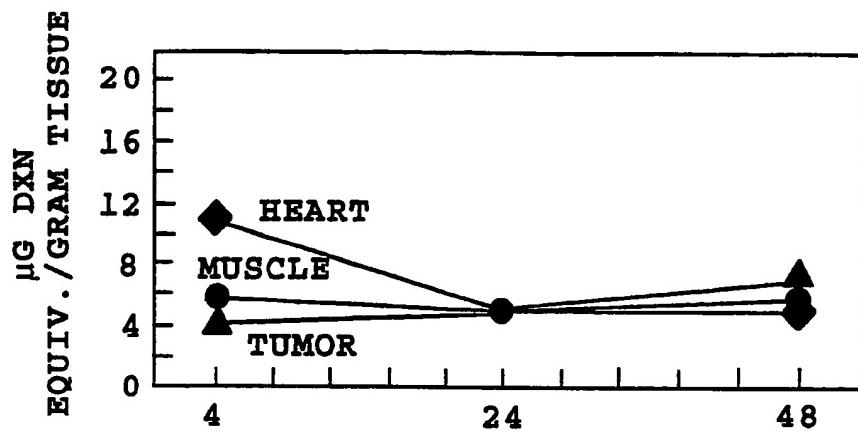
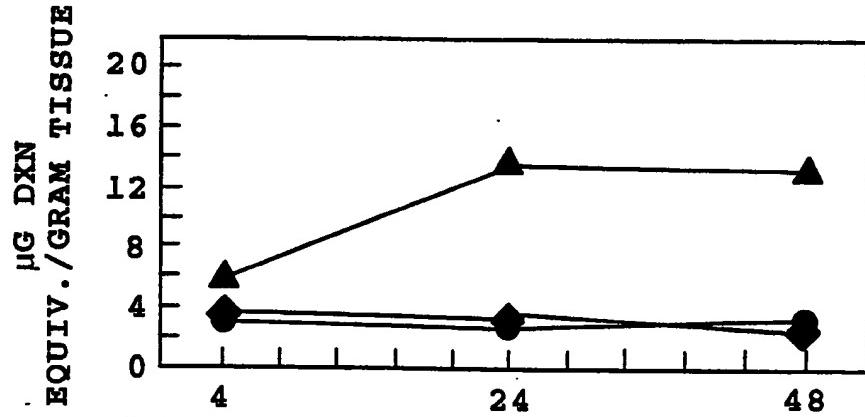
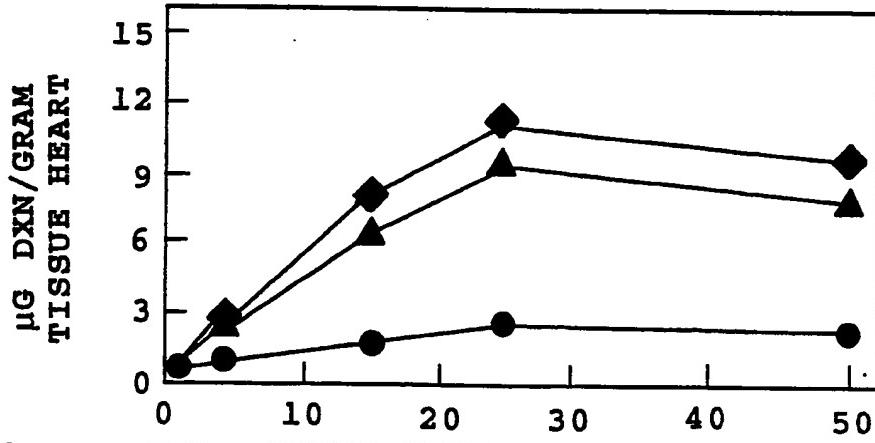


Fig. 11

7/11

**Fig. 12A HOURS AFTER INJECTION****Fig. 12B HOURS AFTER INJECTION****Fig. 13 HOURS AFTER INJECTION**

8/11



Fig. 14A



Fig. 14B

9/11



Fig. 14C



Fig. 14D

10/11

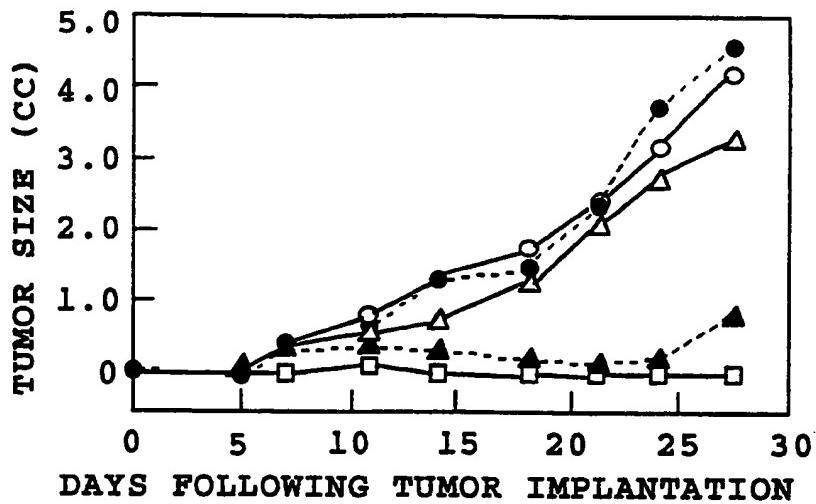


Fig. 15A

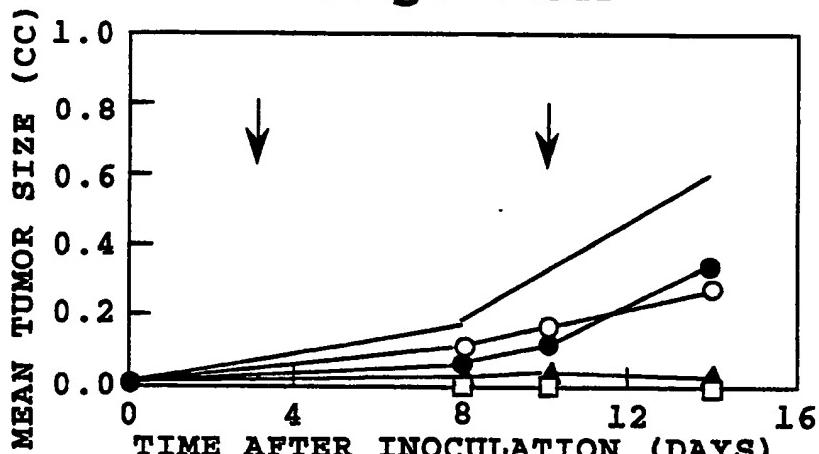


Fig. 15B

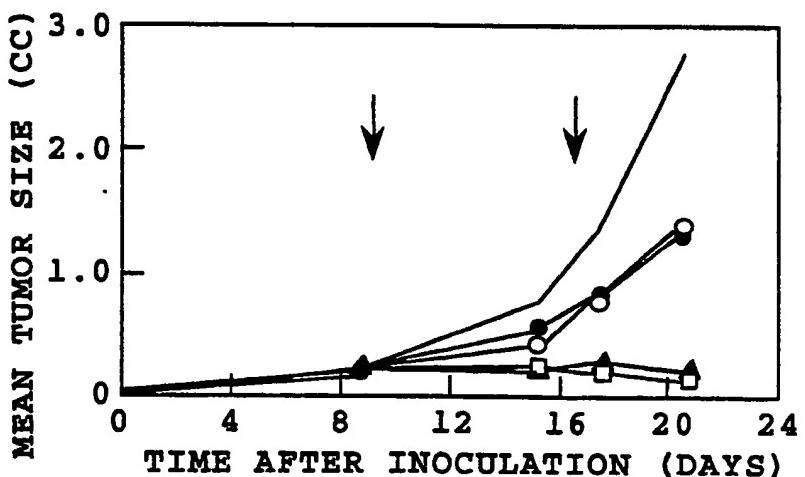


Fig. 15C

11/11

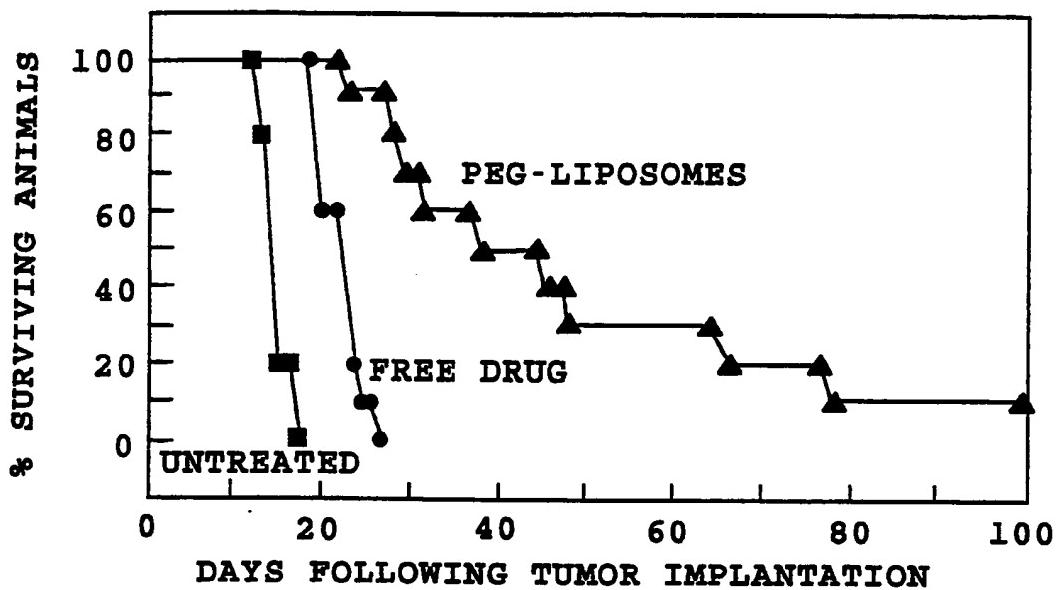


Fig. 16

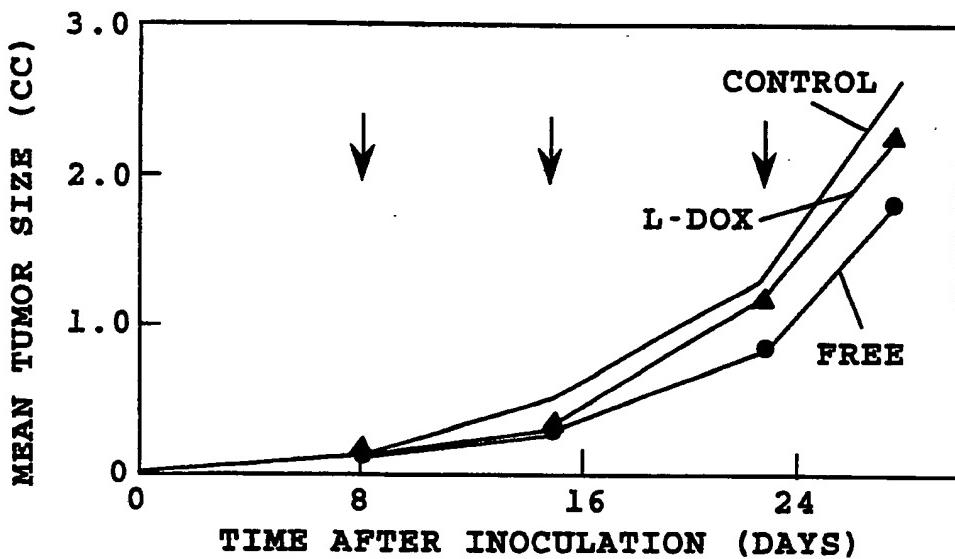


Fig. 17

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/06211

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) \*

According to International Patent Classification (IPC) or to both National Classification and IPC

**IPC<sup>5</sup>** : A 61 K 9/127

## II. FIELDS SEARCHED

Minimum Documentation Searched ?

Classification System	Classification Symbols
IPC <sup>5</sup>	A 61 K

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched \*

## III. DOCUMENTS CONSIDERED TO BE RELEVANT\*

Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
P,Y	Patent Abstracts of Japan, vol. 13, no. 592 (C-671)(3940), 26 December 1989, & JP, A, 1249717 (FUJI PHOTO FILM CO., LTD) 5 October 1989	1-16
Y	--	
P,Y	Derwent File Supplier WPIL, 1989, AN 89-335922 (46), Derwent Publi- cations Ltd, (London, GB), & JP, A, 1249798 (FUJI PHOTO FILM CO., LTD) 5 October 1989 see abstract	1-16
Y	--	
Y	EP, A, 0118316 (LIPID SPECIALITIES) 12 September 1984 see the whole document	1-16
	--	
		/.

- \* Special categories of cited documents: 10
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "A" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

15th February 1991

Date of Mailing of this International Search Report

22 MAR 1991

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

Mme N. KUIPER

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages	Relevant to Claim No.
Y	EP, A, 0072111 (LIPID SPECIALITIES) 16 February 1983 see the whole document  --	1-16
A	GB, A, 2185397 (COSMAS-DAMIAN LTD) 22 July 1987 see abstract; page 4, line 59 - page 5, line 11  --	1
A	WO, A, 88/04924 (LIPOSOME TECHNOLOGY) 14 July 1988 (cited in the application)  --	
P,A	EP, A, 0354855 (TERUMO K.K.) 14 February 1990 see the whole document, in particular columns 14,15, example 6; column 16, example 9  --	1,2
P,A	WO, A, 90/04384 (ROYAL FREE HOSPITAL SCHOOL OF MEDICINE) 3 May 1990 see the whole document	1,2

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V.  OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1.  Claim numbers 17...20 because they relate to subject matter not required to be searched by this Authority, namely:

See PCT-Rule 39.1 (iv): methods for treatment of the human or animal body by surgery or therapy as well as diagnostic methods

2.  Claim numbers ..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3.  Claim numbers ..... because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI.  OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This International Searching Authority found multiple inventions in this International application as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4.  As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

- The additional search fees were accompanied by applicant's protest.  
 No protest accompanied the payment of additional search fees.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

US 9006211  
SA 42715

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 08/03/91. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A- 0118316	12-09-84	US-A-	4534899	13-08-85
		CA-A-	1240692	16-08-88
		DE-A-	3474667	24-11-88
		JP-A-	59204198	19-11-84
		US-A-	4507217	26-03-85
-----	-----	-----	-----	-----
EP-A- 0072111	16-02-83	US-A-	4426330	17-01-84
		CA-A-	1214180	18-11-86
		JP-A, B, C	58049393	23-03-83
		US-A-	4534899	13-08-85
-----	-----	-----	-----	-----
GB-A- 2185397	22-07-87	CH-A-	675539	15-10-90
		DE-A-	3700911	23-07-87
		US-A-	4904479	27-02-90
-----	-----	-----	-----	-----
WO-A- 8804924	14-07-88	US-A-	4920016	24-04-90
		US-A-	4837028	06-06-89
		AU-B-	603860	29-11-90
		AU-A-	1107988	27-07-88
		EP-A-	0296212	28-12-88
		JP-T-	1502590	07-09-89
-----	-----	-----	-----	-----
EP-A- 0354855	14-02-90	JP-A-	2149512	08-06-90
		AU-A-	3948789	15-02-90
-----	-----	-----	-----	-----
WO-A- 9004384	03-05-90	None		
-----	-----	-----	-----	-----

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**